



Production and Comprehension of Subject Pronouns

Charlotte Koster, Petra Hendriks, John Hoeks, Ellis Wubs

31st Annual Conference of the DGfS - Session AG3
University of Osnabrück March 6, 2009

Discourse: Adults & Children

Adult speakers & listeners: take partner's perspective into account, cognitively and linguistically.

Young children: too egocentric to take partner into account. Cognitively, they do not pass "Theory of Mind" tests. Linguistically, their discourse is hard to understand.

Older children: expected to take partner into account. Cognitively, they pass "Theory of Mind" tests. Linguistically, can they accommodate their partner?

Adult Speakers:

- are economical, they prefer to use pronouns.

But they are also informative towards their listeners

- they linguistically mark topic shifts by using full NPs.

Adult Listeners:

- interpret pronouns as referring to discourse topic.

They expect speakers to use full NPs

- to linguistically mark a derivation from the present topic.

Speaker-listener mismatch - "derailed conversation"

Bidirectional Optimality Theory (1)

(Blutner, 2000; Blutner et al., 2006)

4 | 40

Formal model

interdependence - of speaker's choice
- on listener's perspective

(and vice versa)

Bidirectionally optimal form-meaning pair

a <form, meaning> pair

for which there exists
 no other bidirectionally optimal pair
 with a better form or better meaning

Language acquisition:

Speaker/listener learns to find the pair that best satisfies conflicting and hence violable constraints of the grammar.

Two stages:

- (1) achieve adult-like ranking of constraints
- (2) unidirectional to bidirectional optimization of pairs

Topic Shift: Adult Discourse

Adult Bidirectional Optimization:

Speakers are optimally economical & informative to hearers.



Adults

- produce & comprehend pronouns
 as maintaining an existing topic.
- produce & comprehend full NPs
 as shifting to a different topic.



Bidirectional Production & Comprehension



7 | 40

	Avoid NP	Pronouns Refer to Topics
<pre></pre>		
<pre><pre><pre><pre>onoun, [-topic]></pre></pre></pre></pre>		*
<full [+topic]="" np,=""></full>	*	
	*	

Topic Shift: Child Discourse

Children's Unidirectional Optimization:

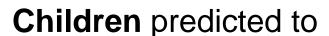
Prediction - Children are unable to consider the linguistic perspective of a conversational partner.

They are overly economical.



meaning → form

form → meaning



- produce unrecoverable pronouns after topic shift.
- not comprehend full NPs
 as signaling topic shift.

Unidirectional & Production



9 | 40

Input: + topic	Avoid NP	Pronouns Refer
		to Topics
<pre><pre><pre><pre>pronouns></pre></pre></pre></pre>		
<np></np>	*	

Input: - topic	Avoid NP	Pronouns
		Refer to Topics
<pre><pre><pre><pre>pronouns></pre></pre></pre></pre>		*
<np></np>	*	





Input: pronoun	Avoid NP	Pronouns
		Refer to Topics
<pre><pre><pre><pre><pre><pre><pre><pre></pre></pre></pre></pre></pre></pre></pre></pre>		
<- topic>		*

Input: NP	Avoid NP	Pronouns
		Refer to Topics
<pre><= <+ topic></pre>		
<pre><- topic></pre>		

Three Experiments

Participants:

31 Dutch children (4;3 - 6;5 mean: 5;6 yrs.)

23 Dutch adults (20;7 - 30;9 mean: 24;7 yrs.)

Experiments:

Discourse production

Discourse comprehension

Working memory



Materials:

4 picture storybooks:

- all storybooks with topic shift (TS)
- 6 pictures per storybook

Task:

Participants tell a story

Score:

Description of Picture #6 (topic shift)

Type of referring expression in last picture

- NP, Pronoun, or "Other" response

Production: Picture Storybook



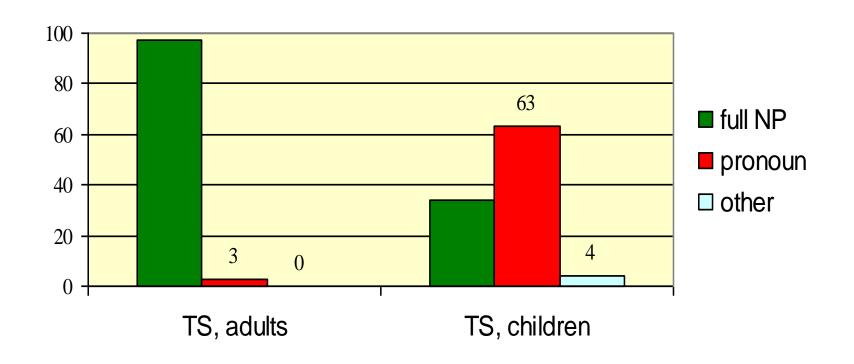
in a net

Production: Form Results

When re-introducing the 1st character in Picture #6:

Adults use a full noun phrase: "the pirate"

Children prefer a pronoun: "he"





Materials:

8 recorded stories

- 4 with a topic shift (TS)
- 4 with a continued topic (TC)
 - 6 sentences & 1 final question per story

Task:

Participants listen to story and answer question

Score:

Answer to final question

- 1st Character, 2nd Character, "Other" response

16 | 40

Topic Shift (TS) example:

- 1. The *cleaning-lady* wants to go feed the ducks.
- 2. *She* gets the old bread out of the breadbox.
- 3. She asks a teacher-lady to come along.
- 4. The *teacher-lady* tears the cleaning-lady's bread in pieces.
- 5. And then the *teacher-lady* gives the cleaning-lady's bread to the ducks.
- 6. She thinks ducks are very sweet little animals.

Question:

Who thinks ducks are very sweet little animals?

Topic Continued (TC) example:

- 1. A *clown* has just painted his own face.
- 2. He wants to paint someone else.
- 3. He comes across a cook (masc.) in the kitchen.
- 4. The *clown* decides to paint the cook.
- 5. Then the *clown* paints a real tough face on the cook.
- 6. <u>He</u> thinks it turned out great.

Question:

Who thinks it turned out great?

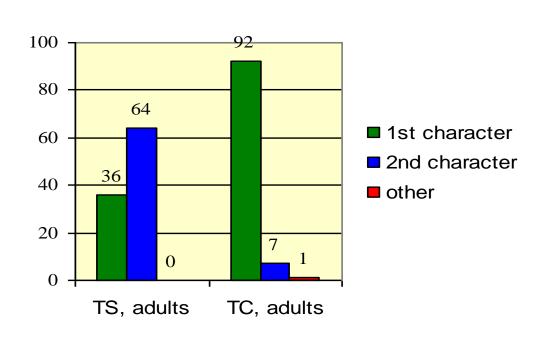
Comprehension: Meaning Results

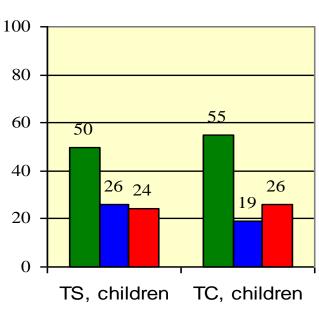
When answering the final question after Sentence 6:

Adults say: 2nd character, in Topic Shift stories

1st character, in Topic Continued stories

Children say: 1st character, in both TS and TC stories





Production & Comprehension

Production & Comprehension Experiments:

- Adults function bidirectionally, as predicted by the Bidirectional Optimality tableau.
- Children function unidirectionally, as predicted by the Unidirectional Optimality tableaux.

Why do the children fail to take their partner's linguistic needs/signals into account?



Materials:

Auditory memory test (Schlichting et. al. 1995) Sets of one-syllable words

- increasing from 2 to 9 words per list

Task:

Repeat word-lists

bal – koek, muis – boom, bed – kip

Score:

Number of word-lists correctly repeated

Correlation Analyses:

Memory with Age, with Production, with Comprehension

Memory & Age: Correlations

21 | 40

Memory & Age:

(Adults: no correlations)

No correlation with age (4.3 – 6.5 yrs.)

age	score	age	score	age	score	age	score
4;3	6	5;0	6	5;7	10	6;0	9
4;3	4	5;2	10	5;8	10	6;1	8
4;4	6	5;3	4	5;9	8	6;1	9
4;5	9	5;3	10	5;9	4	6;2	10
4;9	8	5;3	8	5;9	10	6;2	9
4;10	10	5;5	6	5;10	8	6;3	7
4;11	10	5;5	7	5;11	7	6;5	10
		5;6	7	5;11	7		
		5;6	4				

Children's scores: mean 7.8; range 4-10

Memory & Language: Correlations

22 | 40

(Adults: no correlations)

Children's Correlations:

Memory with:	Positive Correlation	Negative Correlation
Production (TS) picture 6: "pirate"	Full NPs	"Other" response
Comprehension (TS)		
Comprehension (TC)		

Memory & Language: Correlations

23 | 40

(Adults: no correlations)

Children's Correlations:

Memory with:	Positive Correlation	Negative Correlation
Production (TS) picture 6: "pirate"	Full NPs	"Other" response
Comprehension (TS) final question: "teacher-lady"	2nd Character	"Other" response
Comprehension (TC)		

Memory & Language: Correlations

24 | 40

(Adults: no correlations)

Children's Correlations:

Memory with:	Positive Correlation	Negative Correlation
Production (TS) picture 6: "pirate"	Full NPs	"Other" response
Comprehension (TS) final question: "teacher-lady"	2nd Character	"Other" response
Comprehension (TC) final question: "clown"	1st Character	No

Discourse Reference & Topic Shift

Summary (compared to adults):



Production: Children produce significantly more unrecoverable pronouns at topic shifts.



Comprehension: Children fail to interpret a full NP as topic shift marker.



Memory: Children's use/understanding of NPs vs pronouns at topic shift correlates with higher vs lower memory scores.

Conclusion:

Children fail to optimize bi-directionally in dealing with discourse topic shifts.