

1 **A multi-scale model for the planetary and synoptic motions in the**
2 **atmosphere**

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ABSTRACT

A reduced asymptotic model valid for the planetary and synoptic scales in the atmosphere is presented. The model is derived by applying a systematic multiple scales asymptotic method to the full compressible flow equations in spherical geometry. The synoptic scale dynamics in the model is governed by modified quasi-geostrophic equations which take into account planetary scale variations of the background stratification and of the Coriolis parameter. The planetary scale background is described by the planetary geostrophic equations and a new closure condition in the form of a two-scale evolution equation for the barotropic component of the background flow. This closure equation provides a model revealing an interaction mechanism from the synoptic scale to the planetary scale.

To obtain a quantitative assessment of the validity of the asymptotics, the balances on the planetary and synoptic scales are studied by utilizing a primitive equations model. For that purpose spatial and temporal variations of different terms in the vorticity equation are analyzed. It is found that for planetary scale modes the horizontal fluxes of relative and planetary vorticity are nearly divergence free. It is shown that the results are consistent with the asymptotic model.

1. Introduction

Many atmospheric phenomena important for the low-frequency variability (periods longer than 10 days) are characterized by planetary spatial scales, i.e., scales of the order of the earth's radius $\approx 6 \times 10^3$ km. Such phenomena are the orographically and thermally induced quasi-stationary Rossby waves, eddy-driven teleconnection patterns such as the Northern and Southern Annular Modes (NAM,SAM), Pacific/North American Pattern (PNA) or the North Atlantic Oscillation (NAO), large-scale ultralong persistent blockings and the polar/subtropical jet. On the other hand the synoptic eddies, which are responsible for the variability with periods of 2-6 days, have as a characteristic length scale the internal Rossby

30 deformation radius (Pedlosky 1987), which is around 1000 km. Although the spatial and
31 temporal separation between the planetary and synoptic scale atmospheric motion is not
32 so pronounced as in the ocean, the different scales are evident in spectral analyses of tro-
33 pospheric data: observations (e.g. Blackmon 1976; Fraedrich and Böttger 1978; Fraedrich
34 and Kietzig 1983) as well as simulations (e.g. Gall 1976; Hayashi and Golder 1977) show
35 the presence of isolated peaks in the wavenumber-frequency domain. Fig. 1 (taken from
36 Fraedrich and Böttger (1978)) displays three such peaks in the spectrum of the meridional
37 geostrophic wind. There is a maximum associated with the quasi-stationary Rossby waves
38 with zonal wavenumber $k = 1-4$ and with periods larger than 20 days. The other two maxima
39 at $k = 5-6$ and at $k = 7-8$ result from the synoptic waves. These are eastward propagat-
40 ing long and short waves associated with different background stratifications (Fraedrich and
41 Böttger 1978). The overall picture of three maxima persists during the different seasons
42 for the Northern Hemisphere, indicating a separation between the planetary and the syn-
43 optic scales. However, the interactions between the two scales are of great relevance to the
44 atmospheric dynamics as stressed in many studies (e.g. Hoskins et al. 1983).

45 The fast growth of computational resources in atmospheric sciences over the last decades
46 leads to a huge increase of complexity in atmospheric models. This becomes apparent,
47 if one considers the development of the comprehensive general circulation models (GCMs).
48 However, as simulations with those models become more and more closer to observations, the
49 interpretation of the results with regard to improving the models as well as the understanding
50 of the climate system becomes more difficult. This stresses the importance of simplified
51 models, utilized for studies of many aspects of the circulation, such as instability, wave
52 propagation and interaction. As discussed by Held (2005), the development of a hierarchy
53 of reduced models provides a tool for systematic improvement of the comprehensive models
54 and thereby contributes to our understanding of the climate system .

55 One prominent example (if not the most prominent) of simplified model equations is the
56 quasi-geostrophic (QG) theory (Charney 1948), which describes the baroclinic generation

57 and evolution of the synoptic scale eddies. This theory is derived under the assumption of a
58 horizontally uniform background stratification and small variations of the Coriolis parameter
59 (Pedlosky 1987), an assumption which is often violated if one considers motions on a plan-
60 etary scale (such as the one mentioned in the first paragraph). Reduced model equations,
61 which do not make use of the latter assumption and model planetary scale motions, are
62 the planetary geostrophic equations (PGEs; Robinson and Stommel 1959; Welander 1959;
63 Phillips 1963). The PGEs describe balanced dynamics, however the relative vorticity advect-
64 tion is absent in the potential vorticity (PV) equation (the consequences we will discuss later
65 on). Much effort has been made to develop simplified models valid for the planetary and
66 synoptic scale and for the interactions between the two scales. Pedlosky (1984) proposed
67 a two-scale model for the ocean circulation, where the dynamics on the large scale is gov-
68 erned by the PGEs and the dynamics on the small scale by a modified QG equation, which
69 is influenced by the large scale. Mak (1991) incorporated in the QG model effects due to
70 spherical geometry of the earth by considering higher order terms. Vallis (1996) introduced
71 the geostrophic PV model, which can be reduced to QG or to PG model by imposing an
72 appropriate scaling. Numerical simulations (Mundt et al. 1997) with the geostrophic PV
73 model showed, that this model improves the circulation patterns over the latter classical
74 models. Luo developed multi-scale models for planetary-synoptic interaction and applied
75 them for studies of blockings (Luo et al. 2001; Luo 2005) and NAO dynamics (Luo et al.
76 2007).

77 In a previous paper (Dolaptchiev and Klein 2009, hereafter DK) we presented reduced
78 model equations valid for one particular regime of planetary scale atmospheric motions, we
79 refer to this regime as the planetary regime (PR). In the PR we consider the planetary
80 horizontal scales and a corresponding advective time scale of 7 days (see Fig. 2). The
81 PR model includes the PGEs and a novel evolution equation for the barotropic flow. As
82 discussed in DK, in applications to the atmosphere of the PGEs the barotropic flow has
83 to be specified, because there is no advection of relative vorticity in the PGEs. The novel

84 evolution equation in the PR provides a prognostic alternative relative to temperature-based
85 diagnostic closures for the barotropic flow adopted in reduced-complexity planetary models
86 (Petoukhov et al. 2000). The PR model takes into account large variations of the background
87 stratification and of the Coriolis parameter, but it does not describe the synoptic eddies. This
88 limitation motivate an extension of the validity region of the single-scale PR-model to the
89 synoptic spatial and temporal scales (see Fig. 2). In this paper we apply the same asymptotic
90 approach from DK, but utilizing now a two scale expansion resolving both the planetary
91 and the synoptic scales. In doing so we can take into account in a systematic manner the
92 interactions between the planetary and the synoptic scales with particular attention payed on
93 the barotropic component of the background flow. Part of the derived model equations can
94 be regarded as the anelastic analogon of Pedlosky’s two scale model for the large-scale ocean
95 circulation (Pedlosky 1984). But whereas the latter model describes only interaction from
96 the planetary to the synoptic scale, in the present model there is an additional planetary scale
97 evolution equation for the vertically averaged pressure which provides a reverse interaction
98 (from the synoptic to the planetary scale dynamics) in the form of momentum fluxes due
99 to the synoptic scale velocity field. This type of feedback on the planetary scale differs
100 from the one recently proposed by Grooms et al. (2011), where the PGEs are influenced by
101 the synoptic scale through eddy buoyancy fluxes. We have to point out, that momentum
102 fluxes due to synoptic eddies are commonly considered as an interaction mechanism acting
103 on atmospheric planetary scale barotropic flow (e.g., Luo (2005); Luo et al. (2007)), however
104 to our knowledge not in the context of PGEs.

105 The outline of this paper is as follows: in section 2 we briefly discuss the asymptotic
106 method applied for the derivation of the two scale model. Key steps in the derivation are
107 presented in section 3. The asymptotic model equations are summarized and discussed in
108 section 4. We compare the results from the asymptotic analysis with numerical simulations
109 with a primitive equations model in section 5. A concluding discussion can be found in
110 section 6.

2. Asymptotic approach for the derivation of reduced models for the planetary and synoptic scales

a. Asymptotic representation of the governing equations

We utilize the multiple scales asymptotic method of Klein (2000, 2004, 2008). It has been applied in the development of reduced models, e.g., for the tropical dynamics (Majda and Klein 2003), deep mesoscale convection (Klein and Majda 2006), moist boundary layer dynamics (Owinoh et al. 2011) and concentrated atmospheric vortices (Päschke et al. 2012).

Here we give a brief summary of the treatment of the governing equations for a compressible fluid with spherical geometry in the asymptotic framework (for the complete discussion we refer to DK). First, we nondimensionalize the equations by using as reference quantities: the thermodynamic pressure $p_{ref} = 10^5 \text{ kg m}^{-1} \text{ s}^{-2}$, the air density $\rho_{ref} = 1.25 \text{ kg m}^{-3}$ and a characteristic flow velocity $u_{ref} = 10 \text{ m s}^{-1}$. The above quantities define further the scale height $h_{sc} = p_{ref}/g/\rho_{ref} \approx 10 \text{ km}$ ($g = 9.81 \text{ m s}^{-2}$ is the gravity acceleration) and its time scale $t_{ref} = h_{sc}/u_{ref} \approx 20 \text{ min}$. We introduce a small parameter ε as the cubic root of atmosphere's global aspect ratio

$$\varepsilon = \left(\frac{a^* \Omega^2}{g} \right)^{\frac{1}{3}}, \quad (1)$$

where $a^* \approx 6 \times 10^3 \text{ km}$ is the earth's radius and $\Omega \approx 7 \times 10^{-5} \text{ s}^{-1}$ the earth's rotation frequency. With these estimates we find $\varepsilon \sim 1/8 \dots 1/6$, and henceforth consider asymptotic limits for $\varepsilon \ll 1$. Next, the nondimensional Mach, Froude and Rossby numbers in the governing equations are expressed in terms of ε , which is referred to as a distinguished limit. The coupling of all characteristic numbers in terms of only one small parameter is motivated by the fact, that asymptotic expansions of simple systems (such as the linear damped oscillator) give non-unique results if multiple independent parameters are used. With the present specific coupling a variety of classical models can be rederived, see also Klein (2008) for further discussion of the distinguished limit. An alternative interpretation

135 of ε to the one in (1), is that ε equals the Rossby number for the synoptic scales (see Fig. 2
 136 for the synoptic length scaling). Introducing the distinguished limit, the nondimensional
 137 governing equations in spherical coordinates take the form

$$\frac{d}{dt}u - \varepsilon^3 \left(\frac{uv \tan \phi}{R} - \frac{uw}{R} \right) + \varepsilon(w \cos \phi - v \sin \phi) = -\frac{\varepsilon^{-1}}{R\rho \cos \phi} \frac{\partial p}{\partial \lambda} + S_u, \quad (2)$$

$$\frac{d}{dt}v + \varepsilon^3 \left(\frac{u^2 \tan \phi}{R} + \frac{vw}{R} \right) + \varepsilon u \sin \phi = -\frac{\varepsilon^{-1}}{R\rho} \frac{\partial p}{\partial \phi} + S_v, \quad (3)$$

$$\frac{d}{dt}w - \varepsilon^3 \left(\frac{u^2}{R} + \frac{v^2}{R} \right) - \varepsilon u \cos \phi = -\frac{\varepsilon^{-4}}{\rho} \frac{\partial p}{\partial z} - \varepsilon^{-4} + S_w, \quad (4)$$

$$\frac{d}{dt}\theta = S_\theta, \quad (5)$$

$$\frac{d}{dt}\rho + \frac{\varepsilon^3 \rho}{R \cos \phi} \left(\frac{\partial u}{\partial \lambda} + \frac{\partial v \cos \phi}{\partial \phi} \right) + \rho \frac{\partial w}{\partial z} + \frac{\varepsilon^3 2w\rho}{R} = 0, \quad (6)$$

$$\rho\theta = p^{\frac{1}{\gamma}}, \quad (7)$$

138 where λ, ϕ and z stay for longitude, latitude and altitude. The nondimensional variables
 139 p, ρ and θ denote pressure, density and potential temperature; u, v and w are the zonal,
 140 meridional and vertical velocity components. $S_{u,v,w}$ and S_θ represent momentum and diabatic
 141 source terms, γ is the isentropic exponent and the operator d/dt is given by

$$\frac{d}{dt} = \frac{\partial}{\partial t} + \frac{\varepsilon^3 u}{R \cos \phi} \frac{\partial}{\partial \lambda} + \frac{\varepsilon^3 v}{R} \frac{\partial}{\partial \phi} + w \frac{\partial}{\partial z}, \quad (8)$$

142 where $R = a + \varepsilon^3 z$ (a order one constant). We want to stress that the reference quantities
 143 used for the non-dimensionalization, although valid vor a variety of flow regimes, might
 144 not be characteristic for the particular regime of interest, e.g., the scale height is not an
 145 appropriate horizontal scale for the description of planetary and synoptic scale atmospheric
 146 motions. Within the asymptotic approach a particular regime of interest can be studied, if
 147 rescaled coordinates together with an asymptotic series expansion of the dependent variables
 148 are introduced based on physical arguments and intuition. The scaling and the asymptotic
 149 expansion should reflect the relevant physical processes in the flow regime of interest.

150 *b. Coordinates Scaling for the two scale Planetary Regime*

151 The coordinates resolving the planetary and synoptic time and spatial scales are sum-
 152 marized in Table 1. The planetary coordinates λ_P and ϕ_P are suitable for the description
 153 of horizontal variations of the order of the earth's radius a^* . The corresponding planetary
 154 advective time scale is about 7 days and is resolved by t_P . The synoptic scale variables
 155 λ_S, ϕ_S and t_S describe motions with characteristic length scales of 1 000 km $\sim \varepsilon a^*$ and with
 156 a time scale of about 1 day. For the vertical coordinate z no scaling is required. Since this
 157 coordinate was nondimensionalized using the scale height h_{sc} , it describes motions spreading
 158 through the full depth of the troposphere. A more detailed discussion of the scaling can be
 159 found in DK, the validity range of the two-scale Planetary Regime is sketched in Fig. 2.

160 We assume that each dependent variable from (2) - (7) can be represented as an asymp-
 161 totic series in terms of ε

$$U(\lambda, \phi, z, t; \varepsilon) = \sum_{i=0}^{\infty} \varepsilon^i U^{(i)}(\lambda_P, \phi_P, \lambda_S, \phi_S, z, t_P, t_S), \quad (9)$$

162 where $U = (u, v, w, \theta, \rho, \pi)$. Note that the time and horizontal spatial coordinates of the
 163 individual terms in the series resolve both the planetary and synoptic scales.

164 *c. Sublinear growth condition*

165 In order to guarantee a well defined asymptotic expansion (9), we have to require that $U^{(i)}$
 166 grows slower than linearly in any of the synoptic coordinates. This requirement is known
 167 as the sublinear growth condition. Suppose, X_S denotes one of the synoptic coordinates
 168 λ_S, ϕ_S, t_S and X_P the corresponding planetary coordinate λ_P, ϕ_P or t_P . Since we have
 169 $X_S = X_P/\varepsilon$, we can formulate the sublinear growth condition for the coordinate X_S as

$$\lim_{\varepsilon \rightarrow 0} \frac{U^{(i)}(\dots, X_S)}{X_S + 1} = \lim_{\varepsilon \rightarrow 0} \frac{U^{(i)}(\dots, \frac{X_P}{\varepsilon})}{\frac{X_P}{\varepsilon} + 1} = 0, \quad (10)$$

170 where all coordinates except X_S are held fixed with respect to ε in the limit process. An
 171 immediate consequence from the last constraint is the disappearing of averages over X_S of
 172 terms, which can be represented as derivatives with respect to X_S . In particular we have

$$\overline{\frac{\partial}{\partial X_S} U^{(i)}}^{X_S} = 0. \quad (11)$$

173 Here the averaging operator $\overline{(\cdot)}^{X_S}$ is defined as

$$\overline{U^{(i)}}^{X_S}(\dots) = \lim_{\varepsilon \rightarrow 0} \frac{\varepsilon}{2L_S} \int_{\frac{X_P - L_S}{\varepsilon}}^{\frac{X_P + L_S}{\varepsilon}} U^{(i)}(\dots, X_S) dX_S, \quad (12)$$

174 where L_S is some characteristic averaging scale for the coordinate X_S . Eq. (11) implies
 175 that in the asymptotic analyses the synoptic scale divergence of a flux has no effect on the
 176 planetary scale dynamics, when the synoptic scale averaging (12) is applied. This follows
 177 directly from the sublinear growth condition (10) and we will make extensive use of it in the
 178 derivation of the reduced model equations.

179 *d. Assumptions for the background stratification*

180 As already mentioned the classical QG theory takes into account only small deviations
 181 from a constant background distribution of the potential temperature. This background
 182 is assumed to be horizontally uniform and is characterized by a Brunt-Väisälä frequency of
 183 $\mathcal{O}(10^{-2}) \text{ s}^{-1}$, which in nondimensional form implies a horizontally uniform $\mathcal{O}(\varepsilon^2)$ background
 184 potential temperature (Majda and Klein 2003). Similarly as in DK, we allow here $\mathcal{O}(\varepsilon^2)$
 185 variations on the planetary scales of the background potential temperature distribution. In
 186 order to remain consistent with the assumptions in the QG theory we consider an order of
 187 magnitude smaller variations on the synoptic spatial and temporal scales, namely $\mathcal{O}(\varepsilon^3)$.
 188 Thus the expansion for the potential temperature takes the form

$$\theta = 1 + \varepsilon^2 \Theta^{(2)}(\lambda_P, \phi_P, z, t_P) + \varepsilon^3 \Theta^{(3)}(\lambda_P, \phi_P, \lambda_S, \phi_S, z, t_P, t_S) + \mathcal{O}(\varepsilon^4). \quad (13)$$

189 Next, we proceed with the asymptotic derivation of the reduced equations.

3. Derivation of the Planetary Regime with synoptic scale interactions

a. Asymptotic expansion

1) Notation

From here on we use the following notation

$$\mathbf{X}_S = (\lambda_S, \phi_S, t_S), \mathbf{X}_P = (\lambda_P, \phi_P, t_P) \quad (14)$$

$$f = \sin \phi_P, \beta = \frac{1}{a} \frac{\partial}{\partial \phi_P} \sin \phi_P, \quad (15)$$

$$\nabla_{S,P} = \frac{\mathbf{e}_\lambda}{a \cos \phi_P} \frac{\partial}{\partial \lambda_{S,P}} + \frac{\mathbf{e}_\phi}{a} \frac{\partial}{\partial \phi_{S,P}}, \quad (16)$$

$$\Delta_{S,P} = \frac{1}{a^2 \cos^2 \phi_P} \left(\frac{\partial^2}{\partial \lambda_{S,P}^2} + \cos \phi_P \frac{\partial}{\partial \phi_{S,P}} \left(\cos \phi_P \frac{\partial}{\partial \phi_{S,P}} \right) \right), \quad (17)$$

$$\nabla_{S,P} \cdot \mathbf{u} = \frac{1}{a \cos \phi_P} \left(\frac{\partial u}{\partial \lambda_{S,P}} + \frac{\partial v \cos \phi_P}{\partial \phi_{S,P}} \right), \quad (18)$$

$$\mathbf{e}_r \cdot (\nabla_{S,P} \times \mathbf{u}) = \frac{1}{a \cos \phi_P} \left(\frac{\partial v}{\partial \lambda_{S,P}} - \frac{\partial u \cos \phi_P}{\partial \phi_{S,P}} \right), \quad (19)$$

where $\mathbf{u} = \mathbf{e}_\lambda u + \mathbf{e}_\phi v$ and $\mathbf{e}_\lambda, \mathbf{e}_\phi, \mathbf{e}_r$ denote the unit vectors in spherical coordinates. Note that we do not need to make the traditional β -plane approximation for the Coriolis parameter f , since its full variations are resolved by the planetary scale coordinate ϕ_P .

2) KEY STEPS OF THE EXPANSION

We substitute the ansatz (9) in the governing equations (2) - (7) and collect terms of the same order in ε . Following DK we assume a radiative heating rate of about 1 K/day, this implies for the diabatic source term: $S_\theta \sim \mathcal{O}(\varepsilon^5)$. The magnitude of the friction source terms is estimated as: $S_{u,v} \sim \mathcal{O}(\varepsilon^2)$, if a relaxation time scale for the frictional effects of about 1 day is assumed. Source terms of this strength will induce leading order synoptic tendencies in the momentum equation.

205 (i) *Vertical momentum balance*

206 The expansion of the vertical momentum equation shows that the pressure and the density
 207 are hydrostatically balanced up to $\mathcal{O}(\varepsilon^4)$. If we make use of the ideal gas law (7) and of
 208 the Newtonian limit (which states that $\gamma - 1 = \mathcal{O}(\varepsilon)$ as $\varepsilon \rightarrow 0$), we obtain from the
 209 leading two orders hydrostatic balance (see Klein and Majda (2006) and DK for details):
 210 $p^{(0)} = \rho^{(0)} = \exp(-z)$ and $p^{(1)} = \rho^{(1)} = 0$. The next two orders of hydrostatic balance can
 211 be expressed as

$$\mathcal{O}(\varepsilon^2) : \quad \Theta^{(2)} = \frac{\partial}{\partial z} \pi^{(2)}, \quad (20)$$

$$\mathcal{O}(\varepsilon^3) : \quad \Theta^{(3)} = \frac{\partial}{\partial z} \pi^{(3)}, \quad (21)$$

212 where $\pi^{(i)} = p^{(i)}/\rho^{(0)}$.

213 (ii) *Horizontal momentum balance*

214 The leading order horizontal pressure variations on the planetary scale are described by
 215 $\pi^{(2)}$, consistent with the assumption (13) on $\Theta^{(2)}$. Further, the leading order synoptic scale
 216 horizontal pressure fluctuations are assumed an order of ε smaller and are modeled by $\pi^{(3)}$.
 217 If we allow for a dependence of $\pi^{(2)}$ on the synoptic scales, the horizontal pressure gradient
 218 $\nabla_S \pi^{(2)}$ will appear in the $\mathcal{O}(1)$ momentum equation. A pressure gradient of this strength
 219 must be balanced by a Coriolis force, which involves a velocity field scaled as $\varepsilon^{-1} u_{ref}$ (the
 220 leading order velocity $\mathbf{u}^{(0)}$ in the current asymptotic expansion (9) describes dimensional
 221 velocities of the order u_{ref}). Thus, the synoptic scale variations of $\pi^{(2)}$ imply unrealistic
 222 large synoptic scale velocities, such variations are inconsistent with the QG scaling and will
 223 not be considered here. With the consideration above, together with the result $w^{(0)} = 0$
 224 from iii) below, we obtain that $\mathbf{u}^{(0)}$ is geostrophically balanced with respect to the pressure
 225 gradient on the synoptic (∇_S) and on the planetary scale (∇_P)

$$\mathcal{O}(\varepsilon) : \quad f \mathbf{e}_r \times \mathbf{u}^{(0)} = -\nabla_S \pi^{(3)} - \nabla_P \pi^{(2)}. \quad (22)$$

226 Since $\pi^{(2)}$ and f do not depend on the synoptic scales, (22) implies that the synoptic scale
 227 divergence of $\mathbf{u}^{(0)}$ disappears

$$f \nabla_S \cdot \mathbf{u}^{(0)} = 0. \quad (23)$$

228 The evolution of the velocity field $\mathbf{u}^{(0)}$ on the synoptic time scale appears in the next order
 229 equation

$$\mathcal{O}(\varepsilon^2) : \quad \frac{\partial}{\partial t_S} \mathbf{u}^{(0)} + \mathbf{u}^{(0)} \cdot \nabla_S \mathbf{u}^{(0)} + f \mathbf{e}_r \times \mathbf{u}^{(1)} = -\nabla_S \pi^{(4)} - \nabla_P \pi^{(3)} + \mathbf{S}_u^{(2)}. \quad (24)$$

230 As in the case of the single scale PR from DK we proceed in the asymptotic expansion up
 231 to the $\mathcal{O}(\varepsilon^3)$ momentum equation

$$\begin{aligned} \mathcal{O}(\varepsilon^3) : \quad & \frac{\partial}{\partial t_S} \mathbf{u}^{(1)} + \frac{\partial}{\partial t_P} \mathbf{u}^{(0)} + \mathbf{u}^{(0)} \cdot \nabla_S \mathbf{u}^{(1)} + \mathbf{u}^{(1)} \cdot \nabla_S \mathbf{u}^{(0)} \\ & + \mathbf{u}^{(0)} \cdot \nabla_P \mathbf{u}^{(0)} + w^{(3)} \frac{\partial}{\partial z} \mathbf{u}^{(0)} + f \mathbf{e}_r \times \mathbf{v}^{(2)} - \mathbf{e}_\lambda \frac{u^{(0)} v^{(0)} \tan \phi_P}{a} \\ & + \mathbf{e}_\phi \frac{u^{(0)} u^{(0)} \tan \phi}{a} = -\nabla_P \pi^{(4)} + \frac{\rho^{(2)}}{\rho^{(0)}} \nabla_P \pi^{(2)} - \nabla_S \pi^{(5)} + \frac{\rho^{(2)}}{\rho^{(0)}} \nabla_S \pi^{(3)} + \mathbf{S}_u^{(3)}. \end{aligned} \quad (25)$$

232 Comparing the last equation with the corresponding equation from DK, we note the addi-
 233 tional terms due to the synoptic scale variations, e.g., the synoptic scale tendency of $\mathbf{u}^{(1)}$
 234 $\left(\frac{\partial}{\partial t_S} \mathbf{u}^{(1)} \right)$ or the synoptic scale advection of $\mathbf{u}^{(1)}$ by $\mathbf{u}^{(0)}$ $(\mathbf{u}^{(0)} \cdot \nabla_S \mathbf{u}^{(1)})$.

235 *(iii) Continuity equation*

236 The leading three orders in the mass conservation expansion give $w^{(0)} = w^{(1)} = w^{(2)} = 0$
 237 (see DK for details). The $\mathcal{O}(\varepsilon^3)$ order equation reads

$$\mathcal{O}(\varepsilon^3) : \quad \nabla_S \cdot \rho^{(0)} \mathbf{u}^{(1)} + \nabla_P \cdot \rho^{(0)} \mathbf{u}^{(0)} + \frac{\partial}{\partial z} \rho^{(0)} w^{(3)} = 0. \quad (26)$$

238 Here the synoptic scale divergence of $\mathbf{u}^{(1)}$ (interpreted in the classical QG theory as the
 239 divergence due to the ageostrophic velocities) appears in the same order as the planetary
 240 scale divergence of the leading order wind field $\mathbf{u}^{(0)}$. Making use of (23), the next two orders

241 in the continuity equation take the form

$$\mathcal{O}(\varepsilon^4) : \quad \nabla_S \cdot \rho^{(0)} \mathbf{u}^{(2)} + \nabla_P \cdot \mathbf{u}^{(1)} \rho^{(0)} + \frac{\partial}{\partial z} \rho^{(0)} w^{(4)} = 0, \quad (27)$$

$$\begin{aligned} \mathcal{O}(\varepsilon^5) : \quad & \frac{\partial}{\partial t_S} \rho^{(3)} + \nabla_S \cdot \mathbf{u}^{(0)} \rho^{(3)} + \nabla_S \cdot \mathbf{u}^{(1)} \rho^{(2)} + \nabla_S \cdot \mathbf{u}^{(3)} \rho^{(0)} \\ & + \frac{\partial}{\partial t_P} \rho^{(2)} + \nabla_P \cdot \mathbf{u}^{(0)} \rho^{(2)} + \nabla_P \cdot \mathbf{u}^{(2)} \rho^{(0)} \\ & + \frac{\partial}{\partial z} (\rho^{(0)} w^{(5)} + \rho^{(2)} w^{(3)}) = 0. \end{aligned} \quad (28)$$

242 (iv) *Potential temperature equation*

243 From the expansion of the potential temperature equation we have

$$\begin{aligned} \mathcal{O}(\varepsilon^5) : \quad & \frac{\partial}{\partial t_S} \Theta^{(3)} + \mathbf{u}^{(0)} \cdot \nabla_S \Theta^{(3)} + \frac{\partial}{\partial t_P} \Theta^{(2)} + \mathbf{u}^{(0)} \cdot \nabla_P \Theta^{(2)} \\ & + w^{(3)} \frac{\partial}{\partial z} \Theta^{(2)} = S_\Theta^{(5)}. \end{aligned} \quad (29)$$

244 It is worth to compare this result with the corresponding QG equation. In the latter theory
 245 $\Theta^{(2)}$ is interpreted as a horizontally uniform background temperature distribution and all
 246 terms involving it, except the stratification term, are set to zero. Here we consider the
 247 variations on the planetary spatial and temporal scales of $\Theta^{(2)}$ and their influence on the
 248 synoptic scale dynamics of $\Theta^{(3)}$.

249 *b. Vorticity equation for the two scale PR*

250 In this section we proceed with a derivation of a vorticity equation for the two scale model.

251 Applying $-\frac{1}{a \cos \phi_P} \frac{\partial}{\partial \phi_S} \cos \phi_P$ to the \mathbf{e}_λ -component of (24) and $\frac{1}{a \cos \phi_P} \frac{\partial}{\partial \lambda_S}$ to the \mathbf{e}_ϕ -component
 252 of (24), we obtain

$$\begin{aligned} & \frac{\partial}{\partial t_S} \zeta^{(0)} + \mathbf{u}^{(0)} \cdot \nabla_S \zeta^{(0)} + f \nabla_S \cdot \mathbf{u}^{(1)} \\ & = \frac{1}{a^2 \cos \phi_P} \frac{\partial}{\partial \phi_S} \frac{\partial}{\partial \lambda_P} \pi^{(3)} - \frac{1}{a^2 \cos \phi_P} \frac{\partial}{\partial \lambda_S} \frac{\partial}{\partial \phi_P} \pi^{(3)} + S_\zeta, \end{aligned} \quad (30)$$

253 where

$$\zeta^{(0)} = \mathbf{e}_r \cdot \nabla_S \times \mathbf{u}^{(0)} = \frac{1}{f} \Delta_S \pi^{(3)}, \quad (31)$$

$$S_\zeta = \mathbf{e}_r \cdot \nabla_S \times \mathbf{S}_u^{(2)}. \quad (32)$$

254 With the help of (22) we can write for the planetary scale divergence of $\mathbf{u}^{(0)}$

$$f \nabla_P \cdot \mathbf{u}^{(0)} = -\frac{1}{a^2 \cos \phi_P} \frac{\partial}{\partial \phi_S} \frac{\partial}{\partial \lambda_P} \pi^{(3)} + \frac{1}{a^2 \cos \phi_P} \frac{\partial}{\partial \lambda_S} \frac{\partial}{\partial \phi_P} \pi^{(3)} - \beta v^{(0)}. \quad (33)$$

255 Thus, the two scale vorticity equation reads

$$\frac{\partial}{\partial t_S} \zeta^{(0)} + \mathbf{u}^{(0)} \cdot \nabla_S \zeta^{(0)} + f \nabla_P \cdot \mathbf{u}^{(0)} + f \nabla_S \cdot \mathbf{u}^{(1)} + \beta v^{(0)} = S_\zeta. \quad (34)$$

256 *c. Averaging over the synoptic scales*

257 Eq. (34) is not closed since it contains the unknown velocity corrections $\mathbf{u}^{(1)}$. They
 258 can be eliminated in a way similar to that encountered in the classical QG theory, see also
 259 Pedlosky (1984). This leads to two scale PR model equations describing the planetary and
 260 the synoptic scale dynamics; the model is summarized in the next section. A key step in
 261 the derivation is to split all variables into a synoptic scale average and a deviation from this
 262 average. In the case of the variable $\pi^{(3)}$ we obtain

$$\pi^{(3)}(\mathbf{X}_S, \mathbf{X}_P, z) = \pi_P^{(3)}(\mathbf{X}_P, z) + \pi_S^{(3)}(\mathbf{X}_S, \mathbf{X}_P, z), \quad (35)$$

263 with

$$\pi_P^{(3)} = \overline{\pi^{(3)}}^S, \quad (36)$$

$$\overline{\pi_S^{(3)}}^S = 0, \quad (37)$$

264 where the averaging operator $\overline{(\)}^S$ denotes averaging over the synoptic spatial and temporal
 265 scales. Consequently, we can write for the leading order horizontal wind

$$\mathbf{u}^{(0)} = \underbrace{\frac{1}{f} \mathbf{e}_r \times \nabla_S \pi_S^{(3)}}_{=:\mathbf{u}_S^{(0)}} + \underbrace{\frac{1}{f} \mathbf{e}_r \times \nabla_P \pi^{(2)}}_{=:\mathbf{u}_P^{(0)}}. \quad (38)$$

266 Note that the synoptic scale wind field $\mathbf{u}_S^{(0)}$ is a function of the synoptic and planetary scales
 267 but the planetary scale wind field $\mathbf{u}_P^{(0)}$ of the planetary scales only and we have

$$\overline{\mathbf{u}_S^{(0)}}^S = 0. \quad (39)$$

268 The complete derivation of the two scale PR model is presented in appendix A and B.

269 4. Summary and discussion of the two scale PR model

270 Using a two scale asymptotic ansatz, we extended in a systematic way the region of
 271 validity of the planetary scale model from DK to the synoptic spatial and temporal scales.
 272 The model presented here relies on the assumption that the variations of the background
 273 stratification are comparable in magnitude with those adopted in the classical QG theory.
 274 The model equations are summarized below, for convenience of notation the superscripts
 275 indicating asymptotic expansion orders are dropped.

276 1) PLANETARY SCALE MODEL

$$\left(\frac{\partial}{\partial t_P} + \mathbf{u}_P \cdot \nabla_P + w_P \frac{\partial}{\partial z} \right) \frac{f}{\rho_0} \frac{\partial \Theta}{\partial z} = S_{\frac{\partial \Theta}{\partial z}}, \quad (40)$$

$$\frac{\partial}{\partial t_P} \left(\frac{\partial}{\partial \tilde{y}_P} \frac{1}{f} \frac{\partial}{\partial y_P} \bar{P}^z - \frac{\beta}{f^2} \frac{\partial}{\partial y_P} \bar{P}^z - f \bar{P}^z \right) - \frac{\partial}{\partial \tilde{y}_P} N + \frac{\beta}{f} N = S_P, \quad (41)$$

$$N = \frac{\partial}{\partial \tilde{y}_P} \rho_0 \overline{(v_P u_P + \underline{v_S u_S})}^{S, \lambda_P, z} - \frac{\tan \phi_P}{a} \rho_0 \overline{(v_P u_P + \underline{v_S u_S})}^{S, \lambda_P, z} + \frac{\partial}{\partial z} P \frac{\partial}{\partial x_P} \frac{P^{\lambda_P, z}}{\rho_0}, \quad (42)$$

$$\mathbf{u}_P = \frac{1}{f \rho_0} \mathbf{e}_r \times \nabla_P P, \quad \frac{\partial}{\partial z} \frac{P}{\rho_0} = \Theta, \quad \nabla_P \cdot \rho_0 \mathbf{u}_P + \frac{\partial}{\partial z} \rho_0 w_P = 0. \quad (43)$$

$$\left(\frac{\partial}{\partial t_S} + (\mathbf{u}_S + \underline{\mathbf{u}}_P) \cdot \nabla_S \right) q + \beta v_S + \frac{f}{\rho_0} \mathbf{u}_S \cdot \frac{\partial}{\partial z} \frac{\nabla_P \rho_0 \Theta}{\underline{\underline{\frac{\partial \Theta}{\partial z}}}} = S_q, \quad (44)$$

$$q = \frac{1}{f} \Delta_S \frac{p}{\rho_0} + \frac{f}{\rho_0} \frac{\partial}{\partial z} \left(\frac{\rho_0}{\underline{\underline{\frac{\partial \Theta}{\partial z}}}} \frac{\partial p}{\partial z} \right), \quad \mathbf{u}_S = \frac{1}{f \rho_0} \mathbf{e}_r \times \nabla_S p. \quad (45)$$

278 The underlined terms, discussed below in details, describe planetary-synoptic interactions
279 and we have used the notation

$$P = p^{(2)}, \quad p = p^{(3)}, \quad \Theta = \Theta^{(2)}, \quad \rho_0 = \rho^{(0)}, \quad w_p = \overline{w^{(3)}}^S, \quad (46)$$

$$\frac{\partial}{\partial x_P} = \frac{1}{a \cos \phi_P} \frac{\partial}{\partial \lambda_P}, \quad \frac{\partial}{\partial y_P} = \frac{1}{a} \frac{\partial}{\partial \phi_P}, \quad \frac{\partial}{\partial \tilde{y}_P} = \frac{1}{a \cos \phi_P} \frac{\partial}{\partial \phi_P} \cos \phi_P. \quad (47)$$

280 Equations (40)-(43) describe the planetary scale dynamics and (44)-(45) – the synoptic
281 scale dynamics. The model equations include two advection equations (40), (44) for a PV
282 type quantity and an evolution equation for the barotropic component of the background
283 pressure (41), derived after applying the sublinear growth condition.

284 If we leave the planetary scales dependence of the variables out, equations (40), (41)
285 reduce trivially and the underlined terms in (44) vanish. In this case (44) is the classical PV
286 equation from the QG theory. On the other hand, if we assume that the variables do not
287 depend on the synoptic scales, the interaction terms in (41), (42) vanish and (40) remains
288 unchanged: thus we have the single scale planetary model from DK.

289 In the general case, when both synoptic and planetary scales are included, equations
290 (40), (41) and (44) provide the planetary scale structure of Θ , \overline{P}^z and the synoptic scale
291 structure of p . The variable Θ characterizes the background stratification. But whereas in
292 the classical QG model a horizontally uniform stratification is assumed, here it is governed
293 by the evolution equation (40). Another difference to the QG theory is that we do not utilize
294 a β -plane approximation in the derivation of the synoptic scale model (44). In the last model

295 variation of the Coriolis parameter f (as well as β) on a planetary length scale are allowed.
 296 Equations (40) and (43) constitute the PGEs. As discussed in DK they do not represent a
 297 closed system, since a boundary condition for the surface pressure, or equivalently for the
 298 vertically averaged (barotropic component) pressure, is required. The latter is determined
 299 by the planetary barotropic vorticity equation (41). It is shown (see appendix B), that as
 300 in the single-scale PR the barotropic component of the background pressure \overline{P}^z is zonally
 301 symmetric. This is in accordance with the observational evidence that leading modes of
 302 atmospheric variability, i.e., the NAM and SAM, are zonally symmetric and barotropic.
 303 Thus, (41) has the potential to describe the dynamics of zonally symmetric low-frequency
 304 modes.

305 The two underlined terms in (44) describe interactions between the planetary and the
 306 synoptic scales, or more precisely the influence of the planetary scale variations of the back-
 307 ground pressure/temperature distribution on the synoptic scale PV field. The first term can
 308 be interpreted as the advection of synoptic scale PV by the planetary scale velocity field, the
 309 second as the interaction of synoptic velocities with PV gradient afforded by the planetary
 310 scale field. It is important to note that the latter PV includes only a stretching vorticity
 311 part, since the contribution from the relative vorticity (due to planetary scale velocity field)
 312 is an order of magnitude smaller in the asymptotic analysis. We observe that the barotropic
 313 model of Luo (2005) contains such additional interaction term (see eq. (2b) in Luo (2005)).
 314 We speculate that this results from the fact that the latter author starts his asymptotic
 315 analysis from the equivalent barotropic vorticity equation, which itself is derived under the
 316 quasi-geostrophic scaling. We observe further, that the model for the synoptic dynamics (44)
 317 reduces to the model of Pedlosky (1984), if we set ρ_0 to one and consider plane geometry.

318 It is important to note that from the equations describing the planetary scale dynamics
 319 only eq. (41), but not eq. (40), contains a feedback from the synoptic scale (see the under-
 320 lined terms in (42)). We consider the first underlined term in (42), after applying the chain
 321 rule it will give rise in (41) to a term of the form $\frac{\partial}{\partial \overline{y}_P}(v_S(-\frac{\partial}{\partial \overline{y}_P}u_S))$. The latter term can

322 be interpreted as a planetary meridional gradient of a relative vorticity flux, where the vor-
 323 ticity results from the planetary scale dependence of u_S . Such fluxes will directly affect the
 324 barotropic component of the background pressure. The background temperature Θ , on the
 325 other hand, will be influenced only indirectly by the synoptic scales through the barotropic
 326 part of the flow: changes in the background pressure imply changes in the planetary scale
 327 wind \mathbf{u}_P and hence the temperature advection in (40) will be affected. Such type of feedback
 328 mechanism from the synoptic to the planetary scale is absent in the Pedlosky (1984) model
 329 and differs from the one proposed by Grooms et al. (2011). The latter author shows that
 330 for some anisotropic regimes (requiring either an anisotropy in the large-scale spatial coor-
 331 dinates or anisotropy in the large- and small-scale velocity fields) the planetary scale motion
 332 can be influenced by the synoptic scale at leading order through eddy buoyancy fluxes. This
 333 does not contradict our results, since the barotropic component of the flow was omitted in
 334 the analysis of Grooms et al. (2011) and the PR is not characterized by an anisotropy. Fur-
 335 ther, we observe that eq.(41) does not contain vertical advection and twisting terms. This
 336 is in accordance with budget analysis of low-frequency life-cycle studies (Cai and van den
 337 Dool 1994; Feldstein 1998, 2002), which found that the corresponding terms are small and
 338 spatially incoherent. We note that terms multiplied by β in (41) result from the advection
 339 of planetary vorticity by the ageostrophic flow (see appendix B). This is consistent with the
 340 analysis of Cai and van den Dool (1994): they found that such an advection is important
 341 for the very longest low-frequency wave.

342 **5. Balances on the Planetary and Synoptic Scales in** 343 **Numerical Experiments**

344 In this section we address the question how closely the reduced planetary-synoptic asymp-
 345 totic model captures the dynamics of a more complete fluid-dynamical model of the atmo-
 346 sphere. For that purpose we perform simulations with a model based on the primitive

347 equations (PEs). Since the PEs are derived from the full compressible flow equations by as-
 348 suming only a small aspect ratio of the vertical to horizontal length scale and the traditional
 349 approximation, these equations are much more comprehensive than the asymptotic model
 350 and apply to a wider range of scales. From the simulations with the PEs model we study the
 351 balances in the vorticity transport on the planetary and synoptic scale and compare them
 352 with the reduced asymptotic equations.

353 *a. Model description*

354 The numerical simulations are performed with the Portable University Model of the
 355 Atmosphere (PUMA; Fraedrich et al. 1998), which is a simplified global circulation model
 356 used for idealized experiments (e.g., Franzke 2002; Kleidon et al. 2003). It solves the PEs
 357 on a sphere for a dry ideal gas with diabatic and dissipation effects linearly parameterized
 358 through Newtonian cooling and Rayleigh friction (Held and Suarez 1994). The balance in
 359 the model vorticity transport can be written in pressure coordinates as

$$\frac{\partial}{\partial t}\zeta + \nabla \cdot \mathbf{u}(\zeta + f) + \mathbf{e}_r \cdot \nabla \times \left(\omega \frac{\partial}{\partial p} \mathbf{u} \right) + \frac{\zeta}{\tau_F} + K(-1)^h \nabla^{2h} \zeta = R, \quad (48)$$

360 where ζ denotes the relative vorticity, f the planetary vorticity, \mathbf{u} the horizontal velocity
 361 vector, ω the vertical velocity and R the residuum due to errors in the interpolation of the
 362 fields from σ to pressure levels (the PUMA model equations use a σ -vertical coordinate).
 363 Further, we have the friction relaxation time scale τ_f and the hyperdiffusion coefficient K .
 364 All model variables are nondimensionalized using Ω and a^* .

365 We performed simulations with realistic orography as well as with an aquaplanet as lower
 366 boundary condition. The model was run at a T21 horizontal resolution, with 10 vertical σ -
 367 levels and with a time step of 30 min. For the analysis an output over 11 years with 12 h
 368 time increment was used, the first one year is ignored so as to not mis-interpret any spin up
 369 effects. We used the default value of 70 K for the equator to pole temperature difference in
 370 the restoration temperature profile and the seasonal cycle in the model was switched off.

371 The inspection of the orography run shows that PUMA is able to produce key features
 372 of the atmospheric circulation reasonably well for a simplified atmospheric model. At mid-
 373 latitudes a pronounced wavenumber 6, 7 structure with a period of ca. 8 days is visible over
 374 most of the simulation time. This wave implies a characteristic length scale of ~ 2000 km for
 375 the individual synoptic eddies, its time period is overestimated compared with the real at-
 376 mosphere where the maximum of the synoptic activity lies around 4 days (Fig. 1). The time
 377 mean 500 hPa geopotential height shows, that the model reproduces the trough over Eastern
 378 Asia, but it shifts the trough over Canada to Greenland. The weak trough over Western
 379 Asia is absent in the model but a weak minimum over the Aleutian islands is visible. In the
 380 real atmosphere the depression over these islands is confined to the lower troposphere only.
 381 An explanation of these discrepancies can be the absence of land-sea thermal forcing in the
 382 model.

383 *b. The two-scale PR in simulations*

384 In this subsection we analyze the magnitudes of the different terms in the PUMA vorticity
 385 equation and compare the leading order balances with the two scale PR model. Recall the
 386 leading two orders of the vorticity equation (see (23), (34)) in the PR model

$$f\nabla_S \cdot \mathbf{u}^{(0)} = 0, \quad (49)$$

$$\frac{\partial}{\partial t_S} \zeta^{(0)} + \mathbf{u}^{(0)} \cdot \nabla_S \zeta^{(0)} + f\nabla_P \cdot \mathbf{u}^{(0)} + f\nabla_S \cdot \mathbf{u}^{(1)} + \beta v^{(0)} = 0. \quad (50)$$

387 Here all frictional source terms are dropped, since we analyse PUMA simulations at vertical
 388 levels in the free atmosphere well above the planetary boundary layer. Next, the results
 389 for the balances in the PUMA vorticity transport on the synoptic and planetary scales are
 390 presented.

391 1) SYNOPTIC SCALE DYNAMICS

392 The power spectral density of various terms in the model equation (48) as a function of
 393 zonal wavenumber and frequency is presented in Fig. 3 and 4. From the plots it is visible
 394 that the terms $f \frac{\partial \mathbf{u}}{\partial \lambda}$, $\frac{\partial \zeta}{\partial t}$ and βv show two pronounced maxima. The first maxima is at zonal
 395 wavenumber $k=6$ ($k=6,7$ for $f \frac{\partial \mathbf{u}}{\partial \lambda}$) and has a period around 8 d; the second maxima is at
 396 $k=5$ and period between 9 and 10 d. This structure resembles the two peaks associated with
 397 synoptic activity found in observational data, see Fig. 1. Further, in the power density of
 398 $f \frac{\partial \mathbf{u}}{\partial \lambda}$ and βv there is a hint of an isolated maximum at $k=1,2$ and frequency close to zero.
 399 This maximum results from quasi-stationary Rossby waves forced by orography, because it
 400 is absent in the aquaplanet simulation.

401 In order to compare the magnitude of different terms in the vorticity balance on the
 402 synoptic scale, we computed the cumulative spectral density (sum over spectral density
 403 for some wavenumber/period interval) for zonal wavenumbers $4 \leq k \leq 8$ and periods 7 d
 404 $\leq T \leq 10 \text{ d}$. The results for three different pressure levels are shown in Table 2. Overall,
 405 it can be stated, that first the terms $f \frac{\partial u}{\partial \lambda}$ and $f \frac{\partial v}{\partial \phi}$ dominate and have similar magnitude.
 406 Second, if we add these terms together (see Table 2 and the plots for $f \nabla \cdot \mathbf{u}$ in Fig. 3, 4), the
 407 resulting variations are one to two orders smaller than those of the individual terms, implying
 408 that they nearly balance. Both results are consistent with the leading order vorticity balance
 409 in the asymptotic analysis (49), which states that on the synoptic scales the leading order
 410 in the expansion for the wind is divergence free.

411 The next order vorticity balance (50) from the PR suggests that terms including vor-
 412 ticity tendency, relative vorticity advection, planetary vorticity advection and horizontal
 413 divergence (multiplied by f) are next in importance in the vorticity transport. Indeed Ta-
 414 ble 2 shows that $\frac{\partial \zeta}{\partial t}$, βv , $u \frac{\partial \zeta}{\partial \lambda}$, $v \frac{\partial \zeta}{\partial \phi}$ and $f \nabla \cdot \mathbf{u}$ are larger than terms involving advection
 415 by the vertical velocity ω or the dissipation term F_{fr} . However, the individual magnitudes
 416 of the first terms show variations within a wide range from 10^{-1} up to 10^{-3} . Clearly, the
 417 dissipation term F_{fr} is even one order smaller and at 300 hPa and 500 hPa is comparable

418 with $-\frac{\partial}{\partial\phi}(\omega\frac{\partial}{\partial p}u)$. This justifies the neglect of the nonconservative source term in (50). We
 419 observe further, that the $\zeta\frac{\partial u}{\partial\lambda}$ and $\zeta\frac{\partial v}{\partial\phi}$ terms are comparable with $v\frac{\partial\zeta}{\partial\phi}$ in magnitude. How-
 420 ever, if added together the first terms nearly balance similarly to the balance observed in
 421 $f\nabla\cdot\mathbf{u}$.

422 Table 3 shows that the spectral properties discussed so far are observed at different
 423 latitudes as well.

424 2) PLANETARY SCALE DYNAMICS

425 As discussed in the previous subsection the vorticity equation (50) describes the leading
 426 order synoptic scale dynamics, however, this two-scale equation also takes into account
 427 planetary scale variations of the fields through the terms $f\nabla_P\cdot\mathbf{u}^{(0)}$ and $\beta v^{(0)}$. Thus, (50)
 428 can be used to study the leading order vorticity balance on the planetary scale and the effect
 429 of the synoptic scales on that balance. We average (50) over the synoptic spatio-temporal
 430 scales in order to obtain the net influence on the planetary scale motions. The resulting
 431 equation reads

$$\overline{\nabla_P\cdot f\mathbf{u}^{(0)}}^S = 0, \quad (51)$$

432 which states that for planetary scale motions the planetary vorticity flux vanishes. Further,
 433 in (51) there is no contribution from the synoptic scale vorticity fluxes. This is because of
 434 the the sublinear growth condition, which requires

$$\overline{\nabla_S\cdot\mathbf{u}^{(0)}\zeta^{(0)}}^S = 0. \quad (52)$$

435 Note, that an effect due to synoptic eddy fluxes first appears in the next asymptotic order,
 436 cf. with the planetary barotropic vorticity equation (41). Equations (51) and (52) motivated
 437 us to study the divergence of the f - and ζ -flux in the PEs model. They suggest the following
 438 leading order balance on planetary spatial and temporal scales: i) the terms $u\frac{\partial\zeta}{\partial\lambda}, v\frac{\partial\zeta}{\partial\phi}, \zeta\frac{\partial u}{\partial\lambda}$
 439 and $\zeta\frac{\partial v}{\partial\phi}$ sum to zero up to next order asymptotic corrections ii) the terms $f\frac{\partial u}{\partial\lambda}, f\frac{\partial v}{\partial\phi}$ and βv

440 sum to zero up to next order asymptotic corrections. Therefore, we consider the standard
 441 deviation of the terms $\nabla \cdot \mathbf{u}\zeta$ and $\nabla \cdot \mathbf{u}f$ in the PEs simulation relative to the standard
 442 deviation of the individual terms entering in the definitions of $\nabla \cdot \mathbf{u}\zeta$ and $\nabla \cdot \mathbf{u}f$. In order
 443 to extract variations with particular zonal and meridional scale, we expand the data in
 444 spherical harmonics. Each harmonic has a total wavenumber n and zonal wavenumber k ,
 445 the difference $n - k$ defines the so-called meridional wavenumber and gives number of nodes
 446 from pole to pole. Thus, modes with small n and k ($n \geq k$) describe variations on planetary
 447 spatial scales, in both zonal and meridional direction. On the other hand, if n , k or both
 448 become larger, the corresponding spherical harmonic will capture synoptic spatial scales as
 449 well.

450 The top plots in Fig. 5 depict the normalized standard deviation of the spectral coeffi-
 451 cients for $\nabla \cdot \mathbf{u}\zeta$ as a function of the total wavenumber n , where the normalization factor
 452 is given by the mean over the standard deviation of the terms: $u \frac{\partial \zeta}{\partial \lambda}$, $v \frac{\partial \zeta}{\partial \phi}$, $\zeta \frac{\partial u}{\partial \lambda}$ and $\zeta \frac{\partial v}{\partial \phi}$.
 453 Thus, small values of normalized standard deviation indicate that the latter terms com-
 454 pensate. Clearly, this compensation is especially pronounced for total wavenumbers $n \leq 3$
 455 at both pressure level. However, the transition between a regime with compensation and
 456 non-compensation is smooth.

457 The averaging operator in (52) includes, in addition to a spatial averaging, an averaging
 458 over the synoptic time scales as well. Because of this we applied a low-pass filter to the data
 459 (Blackmon 1976), filtering out the synoptic time scales and all other time scales with periods
 460 smaller than 10 d. The results for the normalized standard deviation of $\nabla \cdot \mathbf{u}\zeta$ are shown
 461 in the bottom plots of Fig. 5. The time filtering shifts the position of the maximum with
 462 roughly one total wavenumber to the left and reduces the standard deviation at higher n .
 463 However, for lower wavenumbers nearly no changes are observed compared to the unfiltered
 464 data, indicating that the large-scale spatial modes are dominated by long-period variations.

465 From (51) we expect that the divergence of planetary vorticity flux vanishes on the
 466 planetary spatial scales. This balance differs from the leading order result on the synoptic

467 scale (49), which states that the divergence of the wind multiplied by f vanishes. The
 468 upper row plots in Fig. 6 display the normalized standard deviation of $\nabla \cdot f\mathbf{u}$ and $f\nabla \cdot \mathbf{u}$
 469 for different total wavenumbers n . The term $\nabla \cdot f\mathbf{u}$ has similar distribution as the term
 470 $\nabla \cdot \mathbf{u}\zeta$ from Fig. 5: as n increases it increases monotonically up to a maximum and then
 471 declines, the smallest values correspond again to small n . The term $f\nabla \cdot \mathbf{u}$ has a different
 472 behavior: it decreases at the beginning until it saturates around some low, constant value.
 473 The saturation is reached around $n = 5$ and $n = 6$ for the 200 and 500 hPa pressure level,
 474 respectively. At this wavenumber the synoptic scale balance (49) is reached. From the graph
 475 of the $\nabla \cdot f\mathbf{u}$ -term appears that the balance on the planetary scale (51) is satisfied for $n=1,2$
 476 where the smallest values are reached and the curve is below the one for $f\nabla \cdot \mathbf{u}$. As in the
 477 case of $\nabla \cdot \mathbf{u}\zeta$, the transition between the planetary and synoptic regime in $\nabla \cdot f\mathbf{u}$ and $f\nabla \cdot \mathbf{u}$
 478 is smooth.

479 The bottom plots in Fig. 6 show, that the application of a low-pass filter to the data
 480 does not change qualitatively the behavior of $\nabla \cdot f\mathbf{u}$ and $f\nabla \cdot \mathbf{u}$. The results reported in
 481 this section were also observed in an aquaplanet simulation.

482 6. Conclusions and Outlook

483 Using a two scale asymptotic ansatz, we extended in a systematic way the region of va-
 484 lidity of the planetary scale model from DK to the synoptic spatial and temporal scales. The
 485 resulting multi-scale model is summarized in eqs. (40)-(45). Already Mak (1991) incorpo-
 486 rated in the QG model spherical geometry by considering higher order terms, but his model
 487 is valid for motions characterized by length scales smaller than the planetary scale. The
 488 model presented here consists of two coupled parts – for the planetary and for the synoptic
 489 dynamics. This is different from the geostrophic potential vorticity model of Vallis (Vallis
 490 1996; Mundt et al. 1997), which consists of a single PV equation valid on the planetary
 491 and on the synoptic scale. The latter model is derived by choosing an appropriate scaling,

492 which allows both the limit for the QG model and the limit for the PGEs, whereas here we
 493 have applied a multi-scale asymptotic derivation. The two scale wave models of Luo (2005);
 494 Luo et al. (2007) assume a scale separation between planetary and synoptic motion only
 495 in zonal direction, here we considered a horizontally isotropic planetary scaling. A study
 496 with the asymptotic approach, as applied here, of anisotropic motions with planetary zonal
 497 scale, but meridionally confined to the synoptic scale, reveals a model which describes a
 498 coupling between the planetary evolution of the leading QG PV and the synoptic evolution
 499 of the first order PV corrections from the QG⁺¹ model of Muraki et al. (1999) (details of
 500 this regime can be found in Dolaptchiev (2009)). The anisotropic multi-scale ocean model
 501 of Grooms et al. (2011) is another example for an anisotropic scaling of the large-scale co-
 502 ordinates (here the planetary coordinates): the meridional coordinate in this model resolves
 503 a planetary length scale, whereas the large-scale zonal coordinate resolves a scale between
 504 the planetary and the synoptic spatial scale. In the context of the atmosphere, the external
 505 Rossby deformation radius (Oboukhov scale) might be a natural choice for an intermediate
 506 large-scale length scale between the planetary and synoptic scale. Such scale is relevant for
 507 atmospheric blockings and within the present asymptotic approach it can be accessed in a
 508 systematic way.

509 Equations (40) and (44) represent the anelastic analogon of Pedlosky's two scale model
 510 for the large-scale oceanic circulation (Pedlosky 1984). In his study Pedlosky (1984) applied
 511 an asymptotic expansion in two small parameters: one is the Rossby number and the other is
 512 the ratio between the synoptic and the planetary length scale. For the derivation of his model
 513 he considered the case when the ratio between the two small parameters is of the order one.
 514 Expressing in terms of ε Pedlosky's expansion parameters for our setup, it can be shown that
 515 their ratio is again one, which means that we have considered the same distinguished limit.
 516 The analysis of Pedlosky starts from the incompressible equations on a plane, here we study
 517 the compressible ones on a sphere. Nevertheless, the model PV transport equations have
 518 the same structure and are identical if we set ρ_0 in (40), (44) to one and neglect the effects

519 due to the spherical geometry. A fundamental difference is the absence of a counterpart
520 to the barotropic vorticity equation (41) in Pedlosky’s model. In the ocean the barotropic
521 component of the planetary scale flow is determined, e.g., by prescribing the surface wind or
522 by including some additional friction in the leading order momentum equation. This is not
523 applicable to the atmosphere, since the surface winds should be a part of the solution and
524 the frictional effects are much smaller than in the ocean.

525 The additional evolution equation for the barotropic component of the flow (41) provides
526 the only feedback from the synoptic scale processes to the planetary scale flow in the form of
527 momentum fluxes. No such feedback is contained in Pedlosky’s model. This type of feedback
528 mechanism on the planetary scale differs from the one recently proposed by Grooms et al.
529 (2011), where the planetary scale motion is influenced by the synoptic scale through eddy
530 buoyancy fluxes.

531 One possible application of the two scale PR model presented here, is its implementation
532 in the atmospheric module of an earth system model of intermediate complexity (EMIC
533 Claussen et al. 2002). The CLIMBER EMIC (Petoukhov et al. 2000) solves a type of
534 the PGEs (40), (43), but it uses a temperature based diagnostic closure for the barotropic
535 component of the flow. Here (41) represents a prognostic alternative, which may provide for
536 more realistic increased large-scale, low-frequency variability in future implementations.

537 In EMICs the synoptic fluxes are often parameterized as a macroturbulent diffusion. In
538 this context the model for the synoptic scale dynamics (44) can be regarded as a higher order
539 closure. The solution of the additional evolution equation for the synoptic scales might be
540 avoided by applying a stochastic mode reduction strategy (Majda et al. 2003; Franzke et al.
541 2005; Franzke and Majda 2006; Dolaptchiev et al. 2012). Using this strategy one can derive
542 stochastic differential equations for some “slow” variables taking into account in a systematic
543 manner the interactions from the “fast” variables. In the case of the two scale PR model,
544 we have a natural separation between fast (synoptic) and slow (planetary) modes. Thus
545 one might apply a stochastic mode reduction procedure to the reduced two scale model

546 and derive a stochastic parameterization for the synoptic correlation terms in (41), which
547 is consistent with the synoptic scale model (44). An alternative approach avoiding synoptic
548 scale parameterization is followed by Luo (2005); Luo et al. (2007) in studies of blockings and
549 NAO dynamics. The latter phenomena are considered as nonlinear initial value problems of
550 planetary-synoptic interactions, this allows to assume a synoptic eddy forcing prior to the
551 evolution of the planetary scale motion.

552 The reduced barotropic vorticity equation has the potential to provide a diagnostic tool
553 for studying planetary scale low-frequency dynamics in GCM or in observations. A number
554 of studies (Cai and van den Dool 1994; Feldstein 1998, 2002; Franzke 2002) on the life-cycle
555 of atmospheric low-frequency anomalies utilize budget analysis with the streamfunction ten-
556 dency equation. In particular, with such an analysis the importance of different interaction
557 terms, e.g., interactions with the time mean flow or high- and low-frequency transients, can
558 be assessed systematically. In this context, the asymptotic analysis presented here stresses
559 the importance of the barotropic, zonally symmetric component of the flow for the low-
560 frequency dynamics. Further, it identifies terms containing zonally and vertically averaged
561 synoptic scale momentum fluxes (or planetary meridional gradients of such fluxes) as rele-
562 vant planetary-synoptic interactions. Those terms can be evaluated from observational data
563 or GCM simulations and might be used as a diagnostic tool in interaction studies. Thus, the
564 reduced planetary scale barotropic vorticity equation provides an alternative framework to
565 apply a budget analysis, when the growth and decay of zonally symmetric anomalies with a
566 planetary meridional scale, e.g., NAM and SAM, are investigated. Such model might give
567 new insights in the interactions between the different spatial scales. Those spatial interac-
568 tions are studied in the literature (Cai and van den Dool 1994; Feldstein 1998, 2002; Franzke
569 2002) by splitting the flow into zonal average and its deviation, whereas in the present ap-
570 proach the planetary and synoptic scales are associated with different ranges in wavenumber
571 space. Another application of the present model is to use it as a data driven planetary scale
572 model, in a way similar to Feldstein (2002). In such a model the synoptic fluxes are pre-

573 scribed from GCM simulation or observation and the effect on the planetary scale dynamics
574 can be studied by solving the reduced model equations.

575 The analysis from section 5 of numerical simulations with a primitive equations model
576 showed that the leading order balances in the vorticity transport are consistent with the two
577 scale asymptotic model. In particular, we find that for modes with planetary spatial scales
578 (modes corresponding to spherical harmonics with a total wavenumber ≤ 2) the horizontal
579 fluxes of relative and planetary vorticity are nearly divergence free. However, the transition
580 between planetary and synoptic regime is smooth in the primitive equations model. The
581 comparison between the numerical experiments and the asymptotic models can be extended
582 in the present framework by considering the thermodynamic equation or higher order bal-
583 ances on the planetary and synoptic scales. The asymptotic analysis revealed that some
584 higher order terms involve corrections to the leading order wind. These corrections can be
585 calculated from the model output by considering the divergent part of the wind.

586 In future we plan to solve the two scale PR model numerically. This raises the question
587 about the model behavior in the tropics where f tends to zero. If no frictional effects
588 are considered, the geostrophically balanced leading order wind has a singularity at the
589 equator. However, the asymptotic analysis of Majda and Klein (2003) showed that the
590 background temperature field in the tropics is horizontally uniform (also known as the weak
591 temperature gradient approximation). This condition on the temperature implies a vanishing
592 pressure gradient which compensates the growth due to f . In the case of the two scale PR
593 model further analysis is required, this model should be matched in a systematic way to the
594 intraseasonal planetary equatorial synoptic scale model of Majda and Klein (2003).

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APPENDIX A

600

601

PV formulation of the two scale model

602

603 Using (33) and the continuity equation (26), (30) can be expressed as

$$\frac{\partial}{\partial t_S} \zeta^{(0)} + \mathbf{u}^{(0)} \cdot \nabla_S \zeta^{(0)} + \beta v^{(0)} = \frac{f}{\rho^{(0)}} \frac{\partial}{\partial z} \rho^{(0)} w^{(3)} + S_\zeta. \quad (\text{A1})$$

604 Eliminating the vertical velocity with the help of (29), we have

$$\begin{aligned} & \frac{\partial}{\partial t_S} \zeta^{(0)} + \mathbf{u}^{(0)} \cdot \nabla_S \zeta^{(0)} + \beta v^{(0)} = \\ & - \frac{f}{\rho^{(0)}} \frac{\partial}{\partial z} \frac{\rho^{(0)}}{\frac{\partial}{\partial z} \Theta^{(2)}} \left(\frac{\partial}{\partial t_S} \Theta^{(3)} + \frac{\partial}{\partial t_P} \Theta^{(2)} + \mathbf{u}^{(0)} \cdot \nabla_S \Theta^{(3)} + \mathbf{u}^{(0)} \cdot \nabla_P \Theta^{(2)} \right) + S_{pv}, \end{aligned} \quad (\text{A2})$$

605 where we have denoted all source terms due to diabatic and frictional effects with S_{pv} . In
 606 equation (A2) both the planetary and the synoptic scales are involved; we have reduced the
 607 unknown variables to two $\pi^{(2)}(\mathbf{X}_P, z)$ and $\pi^{(3)}(\mathbf{X}_S, \mathbf{X}_P, z)$, since $\mathbf{u}^{(0)}$, $\Theta^{(2)}$, $\Theta^{(3)}$ and $\zeta^{(0)}$ can
 608 be expressed in terms of them, see (22), (20), (21) and (31). Next, we derive two separate
 609 equations for the unknowns, as usual in the multiple scales asymptotic techniques this is
 610 achieved by applying the sublinear growth condition (see also Pedlosky (1984)).

611 Equation (A2) can be rewritten, with the terms depending on the planetary scales only
 612 appearing on the right hand side, as

$$\begin{aligned} & \frac{\partial}{\partial t_S} q^{(3)} + \left(\mathbf{u}_S^{(0)} + \mathbf{u}_P^{(0)} \right) \cdot \nabla_S q^{(3)} + \beta v_S^{(0)} + \frac{f}{\rho^{(0)}} \mathbf{u}_S^{(0)} \cdot \frac{\partial}{\partial z} \frac{\nabla_P \rho^{(0)} \Theta^{(2)}}{\partial \Theta^{(2)} / \partial z} \\ & - S_q = -\beta v_P^{(0)} - \frac{f}{\rho^{(0)}} \frac{\partial}{\partial z} \left(\frac{\rho^{(0)}}{\partial \Theta^{(2)} / \partial z} \left(\frac{\partial}{\partial t_P} \Theta^{(2)} + \mathbf{u}_P^{(0)} \cdot \nabla_P \Theta^{(2)} \right) \right) + S_{\frac{\partial \Theta}{\partial z}}, \end{aligned} \quad (\text{A3})$$

613 where

$$q^{(3)} = \frac{1}{f} \Delta_S \pi^{(3)} + \frac{f}{\rho^{(0)}} \frac{\partial}{\partial z} \left(\frac{\rho^{(0)} \partial \pi^{(3)} / \partial z}{\partial \Theta^{(2)} / \partial z} \right). \quad (\text{A4})$$

614 In eq.(A3) $S_{\frac{\partial\Theta}{\partial z}}$ represents the synoptic scale average of S_{pv} and S_q the deviations from this
 615 average. The advection terms on the rhs of (A3) can be written as the divergence of a flux

$$\begin{aligned} & \frac{\partial}{\partial t_S} q^{(3)} + \nabla_S \cdot \left((\mathbf{u}_S^{(0)} + \mathbf{u}_P^{(0)}) q^{(3)} + \frac{\beta \mathbf{e}_\lambda \pi^{(3)}}{f} - \frac{\mathbf{e}_z \pi^{(3)}}{\rho^{(0)}} \times \frac{\partial}{\partial z} \frac{\nabla_P \rho^{(0)} \Theta^{(2)}}{\partial \Theta^{(2)} / \partial z} \right) \\ -S_q = & -\beta v_P^{(0)} - \frac{f}{\rho^{(0)}} \frac{\partial}{\partial z} \left(\frac{\rho^{(0)}}{\partial \Theta^{(2)} \partial z} \left(\frac{\partial}{\partial t_P} \Theta^{(2)} + \mathbf{u}_P^{(0)} \cdot \nabla_P \Theta^{(2)} \right) \right) + S_{\frac{\partial\Theta}{\partial z}}. \end{aligned} \quad (\text{A5})$$

616 The lhs of (A5) vanishes after averaging the equation over the synoptic scales and applying
 617 the sublinear growth condition, but the rhs remains unchanged (since it does not depend on
 618 the synoptic scales). Thus both sides of (A5) have to vanish independently and we obtain
 619 from the lhs

$$\frac{\partial}{\partial t_S} q^{(3)} + (\mathbf{u}_S^{(0)} + \mathbf{u}_P^{(0)}) \cdot \nabla_S q^{(3)} + \beta v_S^{(0)} + \frac{f}{\rho^{(0)}} \mathbf{u}_S^{(0)} \cdot \frac{\partial}{\partial z} \frac{\nabla_P \rho^{(0)} \Theta^{(2)}}{\partial \Theta^{(2)} / \partial z} = S_q. \quad (\text{A6})$$

620 The rhs of (A5) can be simplified further (see Dolaptchiev (2009) for the complete derivation)

$$\left(\frac{\partial}{\partial t_P} + \mathbf{u}_P^{(0)} \cdot \nabla_P + w_P \frac{\partial}{\partial z} \right) \frac{f}{\rho^{(0)}} \frac{\partial}{\partial z} \Theta^{(2)} = S_{\frac{\partial\Theta}{\partial z}}. \quad (\text{A7})$$

621 where $w_P^{(3)} = \overline{w^{(3)}^S}$.

622 APPENDIX B

623 624 **Evolution equation for the barotropic component of** 625 **the pressure**

626 As discussed in DK, the planetary scale PV eq. (A7) requires a closure for the vertically
 627 averaged pressure $p^{(2)}$ (barotropic component). Here we derive an evolution equation for
 628 that component in the two scale setup from section 2b. In order to see the net effect from
 629 the synoptic scales on the planetary scale pressure distribution, we have to average first the
 630 asymptotic equations from section 3 over the synoptic variables.

631 a. *Averaging over the synoptic scales*

632 (i) *Continuity equation*

$$\mathcal{O}(\varepsilon^3) : \quad \nabla_P \cdot \overline{\rho^{(0)} \mathbf{u}^{(0)}}^S + \frac{\partial}{\partial z} \overline{\rho^{(0)} w^{(3)}}^S = 0, \quad (\text{B1})$$

$$\mathcal{O}(\varepsilon^4) : \quad \nabla_P \cdot \overline{\rho^{(0)} \mathbf{u}^{(1)}}^S + \frac{\partial}{\partial z} \overline{\rho^{(0)} w^{(4)}}^S = 0, \quad (\text{B2})$$

$$\begin{aligned} \mathcal{O}(\varepsilon^5) : \quad & \frac{\partial}{\partial t_P} \rho^{(2)} + \nabla_P \cdot \overline{\mathbf{u}^{(0)} \rho^{(2)}}^S + \nabla_P \cdot \overline{\mathbf{u}^{(2)} \rho^{(0)}}^S \\ & + \frac{\partial}{\partial z} \left(\overline{\rho^{(0)} w^{(5)} + \rho^{(2)} w^{(3)}}^S \right) = 0. \end{aligned} \quad (\text{B3})$$

633 We average vertically (B1), apply vanishing vertical velocity at the bottom and at the top
 634 of the domain as boundary condition and express the horizontal divergence with the help of
 635 eq. (33) to obtain

$$\frac{\beta}{f} \overline{\rho^{(0)} v^{(0)}}^{S,z} = 0. \quad (\text{B4})$$

636 Consequently, the barotropic component of the pressure $p^{(2)}$ is zonally symmetric

$$\overline{p^{(2)}}^z = \overline{p^{(2)}}^z(\phi_P, t_P). \quad (\text{B5})$$

637 (ii) *Potential temperature equation*

638 Averaging over the potential temperature equation (29) and rewriting it in conservation
 639 form with the help of (B1), we have

$$\mathcal{O}(\varepsilon^5) : \quad \frac{\partial}{\partial t_P} \rho^{(0)} \Theta^{(2)} + \overline{\nabla_P \cdot \mathbf{u}^{(0)} \rho^{(0)} \Theta^{(2)}}^S + \frac{\partial}{\partial z} \overline{w^{(3)} \rho^{(0)} \Theta^{(2)}}^S = \overline{\rho^{(0)} S_{\Theta}^{(5)}}^S. \quad (\text{B6})$$

640 (iii) *Momentum equation*

641 The equation for the \mathbf{e}_λ -component of (25) is written with (26) in conservation form,
 642 after averaging the result over the synoptic scales we have

$$\begin{aligned} \mathcal{O}(\varepsilon^3) : \quad & \frac{\partial}{\partial t_P} \overline{\rho^{(0)} u^{(0)}{}^s} + \nabla_P \cdot \overline{\mathbf{u}^{(0)} \rho^{(0)} u^{(0)}{}^s} + \frac{\partial}{\partial z} \overline{w^{(3)} \rho^{(0)} u^{(0)}{}^s} - \overline{f v^{(2)}{}^s} \\ & - \frac{\overline{u^{(0)} v^{(0)} \tan \phi_P}{}^s}{a} = - \frac{1}{a \cos \phi_P} \frac{\partial}{\partial \lambda_P} \overline{\pi^{(4)}{}^s} + \frac{\rho^{(2)}}{\rho^{(0)}} \frac{1}{a \cos \phi_P} \frac{\partial}{\partial \lambda_P} \overline{\pi^{(2)}{}^s} + \overline{\rho^{(0)} S_u^{(3)}{}^s}. \end{aligned} \quad (\text{B7})$$

643 Here we have used the sublinear growth condition (11) and the fact that $\mathbf{u}^{(0)} \cdot \nabla_S u^{(1)} =$
 644 $\nabla_S \cdot \mathbf{u}^{(0)} u^{(1)}$ because of (23).

645 *b. Derivation of the evolution equation for the planetary scale barotropic pressure*

646 We average the momentum eq. (B7), the temperature eq. (B6) and the continuity eq.
 647 (B3) over z and λ_P to obtain

$$\overline{\rho^{(0)} v^{(2)}} = \frac{1}{f} \left\{ \frac{\partial}{\partial t_P} \overline{\rho^{(0)} u^{(0)}} + \frac{\partial}{\partial \tilde{y}_P} \overline{v^{(0)} \rho^{(0)} u^{(0)}} - \overline{\rho^{(0)} u^{(0)} v^{(0)} \frac{\tan \phi_P}{a}} - \overline{\rho^{(2)} \frac{\partial}{\partial x_P} \pi^{(2)}} + \overline{\rho^{(0)} S_u^{(3)}} \right\}, \quad (\text{B8})$$

$$\frac{\partial}{\partial t_P} \overline{\rho^{(0)} \Theta^{(2)}} + \frac{\partial}{\partial \tilde{y}_P} \overline{v^{(0)} \rho^{(0)} \Theta^{(2)}} = \overline{\rho^{(0)} S_\Theta^{(5)}}, \quad (\text{B9})$$

$$\frac{\partial}{\partial \tilde{y}_P} \overline{\rho^{(0)} v^{(2)}} = - \frac{\partial}{\partial t_P} \overline{\rho^{(2)}} - \frac{\partial}{\partial \tilde{y}_P} \overline{v^{(0)} \rho^{(2)}} \quad (\text{B10})$$

648 Here the overbar denotes an average over the synoptic scales, λ_P and z ; $\frac{\partial}{\partial x_P}$ and $\frac{\partial}{\partial \tilde{y}_P}$ are
 649 defined in (47). The time derivative of $\rho^{(2)}$ in (B10) can be expressed with the help of (B9)
 650 in terms of $p^{(2)}$ only (see also eq.(73)-(77) from DK), thus we have

$$\frac{\partial}{\partial \tilde{y}_P} \overline{\rho^{(0)} v^{(2)}} = - \frac{\partial}{\partial t_P} \overline{p^{(2)}} + \overline{\rho^{(0)} S_\Theta^{(5)}} \quad (\text{B11})$$

651

Substituting (B8) in (B11), we have

$$\begin{aligned}
& \frac{\partial}{\partial t_P} \left(-\frac{\partial}{\partial \tilde{y}_P} \overline{u^{(0)} \rho^{(0)}} + \frac{\beta}{f} \overline{u^{(0)} \rho^{(0)}} - f \overline{p^{(2)}} \right) \\
& - \frac{\partial}{\partial \tilde{y}_P} \left\{ \frac{\partial}{\partial \tilde{y}_P} \overline{v^{(0)} \rho^{(0)} u^{(0)}} - \frac{\overline{\rho^{(0)} u^{(0)} v^{(0)} \tan \phi_P}}{a} - \overline{\rho^{(2)} \frac{\partial}{\partial x_P} \pi^{(2)}} \right\} \\
& + \frac{\beta}{f} \left\{ \frac{\partial}{\partial \tilde{y}_P} \overline{v^{(0)} \rho^{(0)} u^{(0)}} - \frac{\overline{\rho^{(0)} u^{(0)} v^{(0)} \tan \phi_P}}{a} - \overline{\rho^{(2)} \frac{\partial}{\partial x_P} \pi^{(2)}} \right\} = S_p, \quad (\text{B12})
\end{aligned}$$

652

where S_p denotes the source terms entering the above equation. Finally, eq. (41) is obtained

653

from (B12) after expressing the zonal wind $u^{(0)}$ entering the time derivative term in terms

654

of the pressure $p^{(2)}$ using (38), (39) and (B5).

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764		level.	42

TABLE 1. Scaling for the planetary and synoptic coordinates

Coordinates:	synoptic	planetary
horizontal	$\lambda_S = \lambda/\varepsilon$ $\phi_S = \phi/\varepsilon$	$\lambda_P = \lambda$ $\phi_P = \phi$
temporal	$t_S = \varepsilon^2 t$	$t_P = \varepsilon^3 t$

TABLE 2. Cumulative power spectral density for various terms in the vorticity equation (48) and at three different pressure levels. Shown is the sum over power density for zonal wavenumbers $4 \leq k \leq 8$ and periods $7 \text{ d} \leq T \leq 10 \text{ d}$ at 50°N in units of Ω^4 . The following abbreviation is used: F_{fr} for the Rayleigh friction and hyperdiffusion terms ; $\frac{\partial}{\partial \lambda}$ for the zonal derivative $\frac{1}{\cos \phi} \frac{\partial}{\partial \lambda}$ and $\frac{\partial}{\partial \phi}$ for the meridional derivative $\frac{1}{\cos \phi} \frac{\partial \cos \phi}{\partial \phi}$, except for the operator in $v \frac{\partial \zeta}{\partial \phi}$.

200 hPa						
$f \frac{\partial u}{\partial \lambda}$	$f \frac{\partial v}{\partial \phi}$	$f \nabla \cdot \mathbf{u}$	$\frac{\partial \zeta}{\partial t}$	βv	$u \frac{\partial \zeta}{\partial \lambda}$	$v \frac{\partial \zeta}{\partial \phi}$
3.41e-01	3.54e-01	3.15e-02	1.46e-02	1.07e-02	1.86e-01	1.83e-03
$\zeta \frac{\partial u}{\partial \lambda}$	$\zeta \frac{\partial v}{\partial \phi}$	$\zeta \nabla \cdot \mathbf{u}$	$\frac{\partial}{\partial \lambda} (\omega \frac{\partial}{\partial p} v)$	$-\frac{\partial}{\partial \phi} (\omega \frac{\partial}{\partial p} u)$	F_{fr}	R
3.41e-03	3.39e-03	3.26e-04	2.45e-05	4.51e-05	1.90e-04	7.47e-05
300 hPa						
$f \frac{\partial u}{\partial \lambda}$	$f \frac{\partial v}{\partial \phi}$	$f \nabla \cdot \mathbf{u}$	$\frac{\partial \zeta}{\partial t}$	βv	$u \frac{\partial \zeta}{\partial \lambda}$	$v \frac{\partial \zeta}{\partial \phi}$
5.04e-01	5.46e-01	3.23e-02	2.25e-02	1.54e-02	2.27e-01	3.14e-03
$\zeta \frac{\partial u}{\partial \lambda}$	$\zeta \frac{\partial v}{\partial \phi}$	$\zeta \nabla \cdot \mathbf{u}$	$\frac{\partial}{\partial \lambda} (\omega \frac{\partial}{\partial p} v)$	$-\frac{\partial}{\partial \phi} (\omega \frac{\partial}{\partial p} u)$	F_{fr}	R
4.14e-03	4.32e-03	3.12e-04	5.51e-06	1.21e-04	3.24e-04	3.69e-05
500 hPa						
$f \frac{\partial u}{\partial \lambda}$	$f \frac{\partial v}{\partial \phi}$	$f \nabla \cdot \mathbf{u}$	$\frac{\partial \zeta}{\partial t}$	βv	$u \frac{\partial \zeta}{\partial \lambda}$	$v \frac{\partial \zeta}{\partial \phi}$
4.55e-01	5.07e-01	5.65e-03	2.40e-02	1.49e-02	1.23e-01	2.52e-03
$\zeta \frac{\partial u}{\partial \lambda}$	$\zeta \frac{\partial v}{\partial \phi}$	$\zeta \nabla \cdot \mathbf{u}$	$\frac{\partial}{\partial \lambda} (\omega \frac{\partial}{\partial p} v)$	$-\frac{\partial}{\partial \phi} (\omega \frac{\partial}{\partial p} u)$	F_{fr}	R
1.72e-03	1.87e-03	3.43e-05	1.02e-05	5.85e-04	3.45e-04	2.06e-05

TABLE 3. Same as in table 2 but for different latitudes, all results for 300 hPa pressure level.

40°N						
$f \frac{\partial u}{\partial \lambda}$	$f \frac{\partial v}{\partial \phi}$	$f \nabla \cdot \mathbf{u}$	$\frac{\partial \zeta}{\partial t}$	βv	$u \frac{\partial \zeta}{\partial \lambda}$	$v \frac{\partial \zeta}{\partial \phi}$
4.13e-01	4.62e-01	3.31e-02	2.01e-02	1.60e-02	2.51e-01	4.91e-03
$\zeta \frac{\partial u}{\partial \lambda}$	$\zeta \frac{\partial v}{\partial \phi}$	$\zeta \nabla \cdot \mathbf{u}$	$\frac{\partial}{\partial \lambda}(\omega \frac{\partial}{\partial p} v)$	$-\frac{\partial}{\partial \phi}(\omega \frac{\partial}{\partial p} u)$	F_{fr}	R
2.21e-03	2.52e-03	1.62e-04	2.88e-06	1.35e-04	2.15e-04	2.54e-05
60°N						
$f \frac{\partial u}{\partial \lambda}$	$f \frac{\partial v}{\partial \phi}$	$f \nabla \cdot \mathbf{u}$	$\frac{\partial \zeta}{\partial t}$	βv	$u \frac{\partial \zeta}{\partial \lambda}$	$v \frac{\partial \zeta}{\partial \phi}$
7.31e-01	6.02e-01	1.87e-02	1.67e-02	7.70e-03	1.17e-01	3.05e-03
$\zeta \frac{\partial u}{\partial \lambda}$	$\zeta \frac{\partial v}{\partial \phi}$	$\zeta \nabla \cdot \mathbf{u}$	$\frac{\partial}{\partial \lambda}(\omega \frac{\partial}{\partial p} v)$	$-\frac{\partial}{\partial \phi}(\omega \frac{\partial}{\partial p} u)$	F_{fr}	R
2.96e-03	2.56e-03	9.47e-05	3.34e-06	4.00e-05	4.85e-04	1.36e-05

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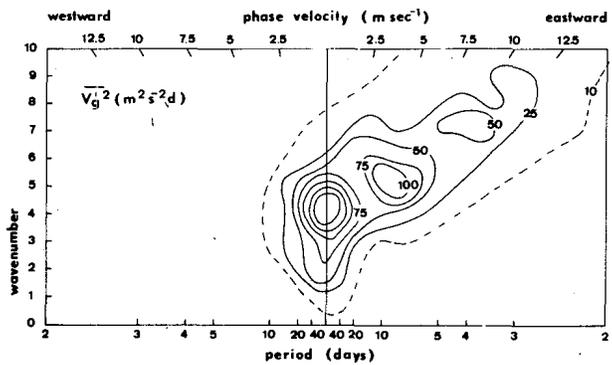


FIG. 1. Power spectrum density of the meridional geostrophic wind at 500 hPa and 50° N, from Fraedrich and Böttger (1978)((c)American Meteorological Society. Used with permission).

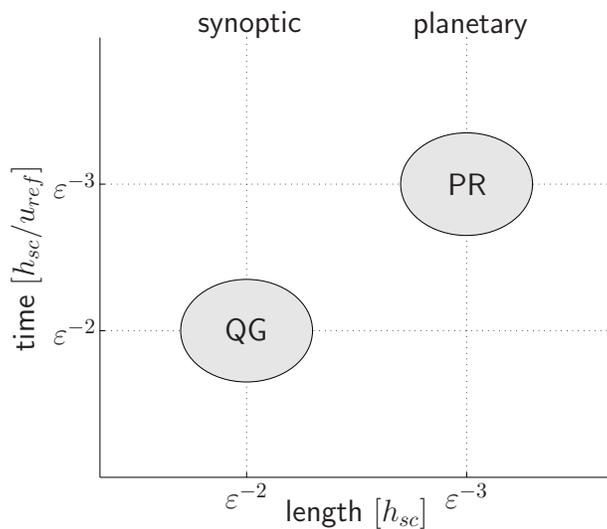


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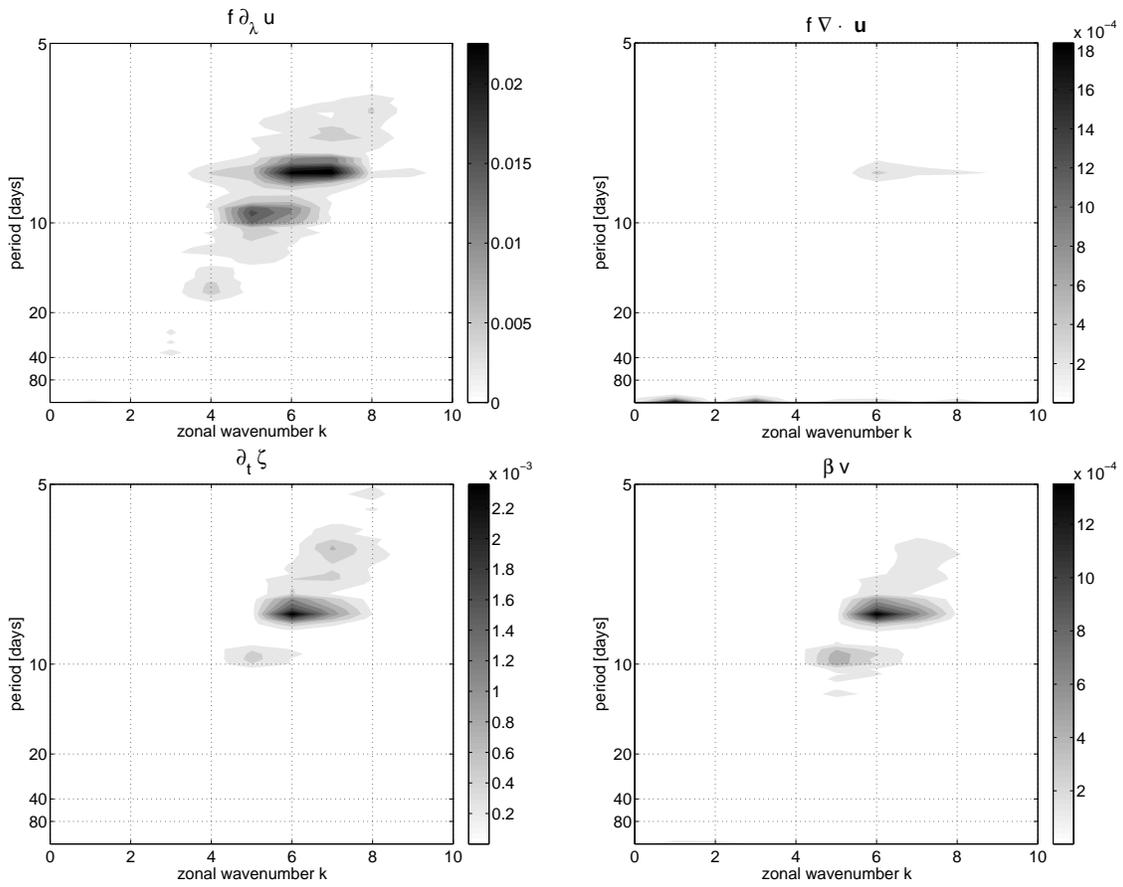


FIG. 3. Zonal wavenumber - frequency plot of the power spectral density for different terms in the model vorticity equation (48); all at 500 hPa and 50°N, all in units of Ω^4 . In the title caption $f \frac{\partial u}{\partial \lambda}$ stands for $f \frac{1}{\cos \phi} \frac{\partial u}{\partial \lambda}$.

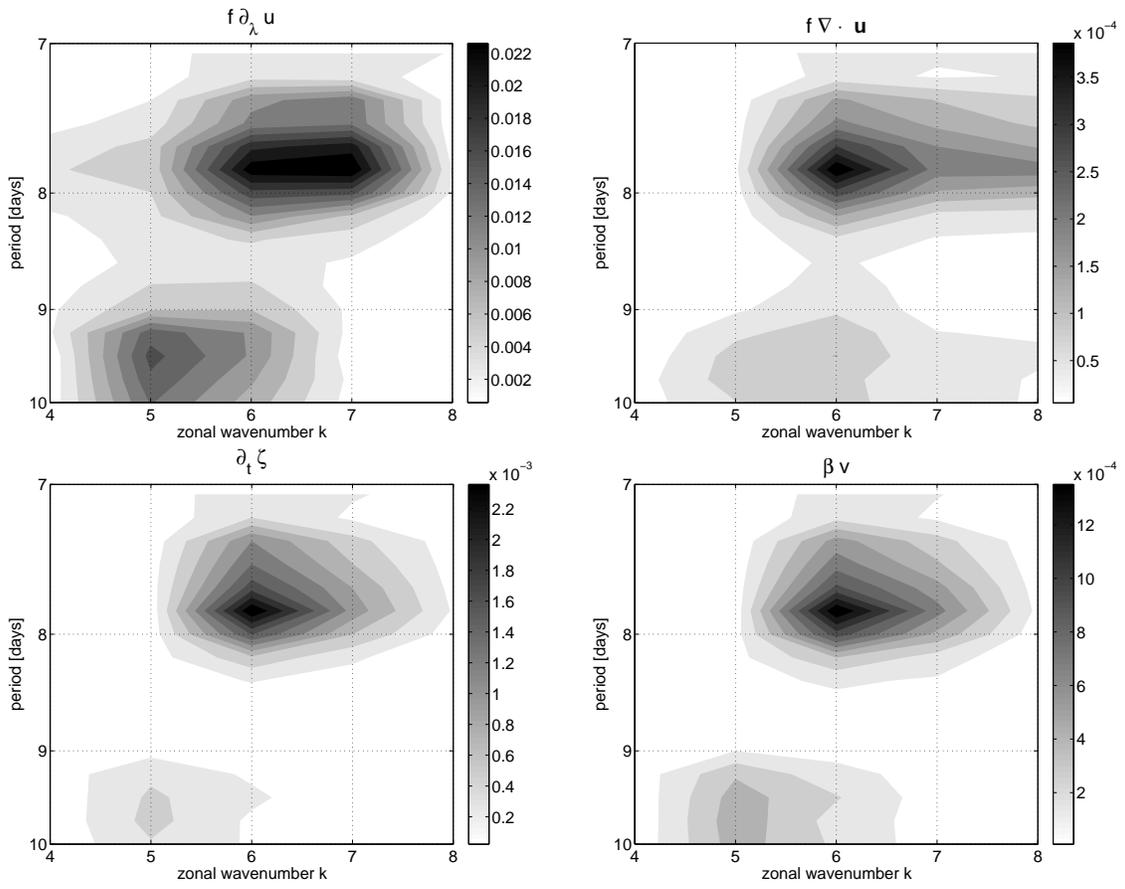


FIG. 4. Same as in Fig. 3 but for a different wavenumber/frequency range.

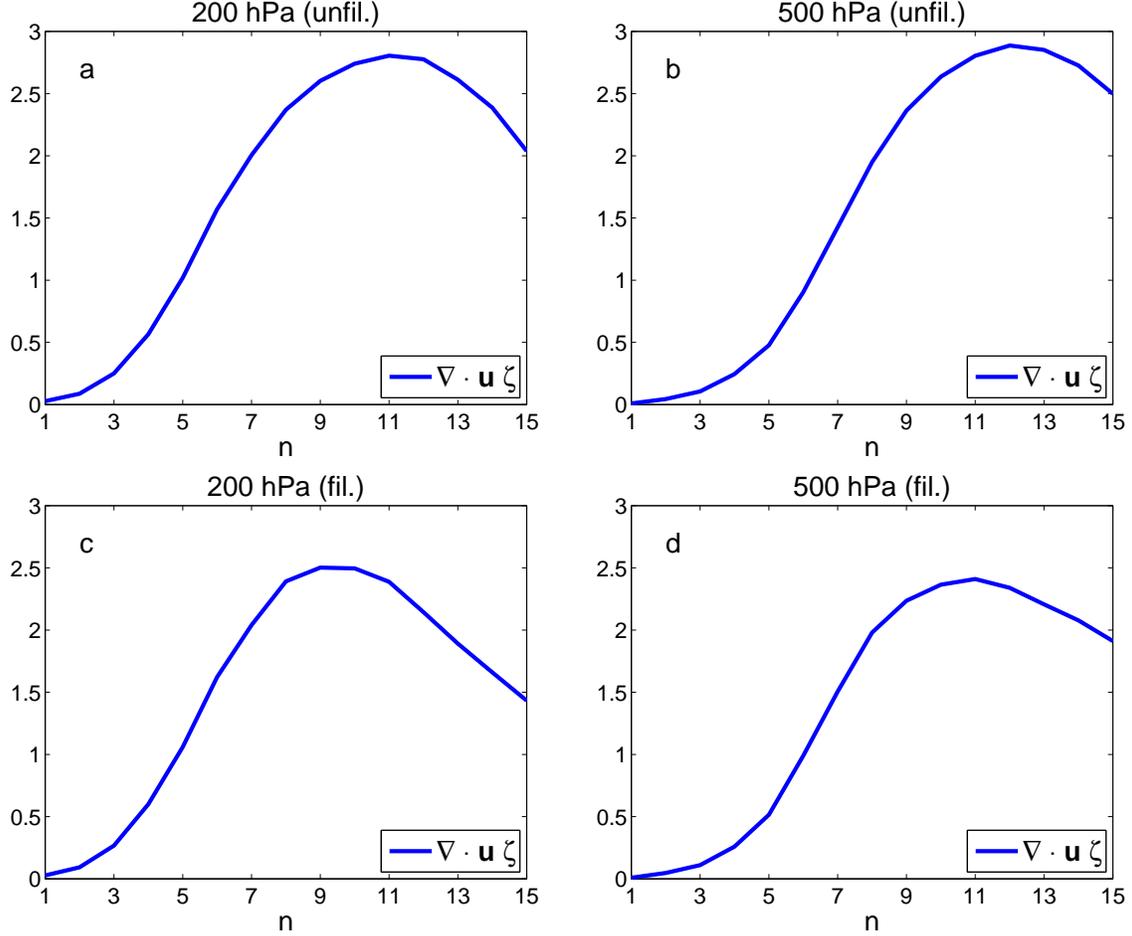


FIG. 5. Normalized standard deviation of $\nabla \cdot \mathbf{u}\zeta$ at 200 hPa (a,c) and at 500 hPa (b,d) as a function of the total wavenumber n . (a),(b) unfiltered data, (c),(d) low-pass filtered data (periods ≥ 10 d, see Blackmon (1976) for filter details). The standard deviation of a term V for some n is given by $\Sigma_n(V) = \sum_{k=1}^n \sigma_n^k(V)$, where $\sigma_n^k(V)$ denotes the standard deviation of the spectral coefficient of V for zonal wavenumber k and total wavenumber n . The normalization factor is $\frac{1}{4}(\Sigma_n(u\frac{\partial\zeta}{\partial\lambda}) + \Sigma_n(v\frac{\partial\zeta}{\partial\phi}) + \Sigma_n(\zeta\frac{\partial u}{\partial\lambda}) + \Sigma_n(\zeta\frac{\partial v}{\partial\phi}))$.

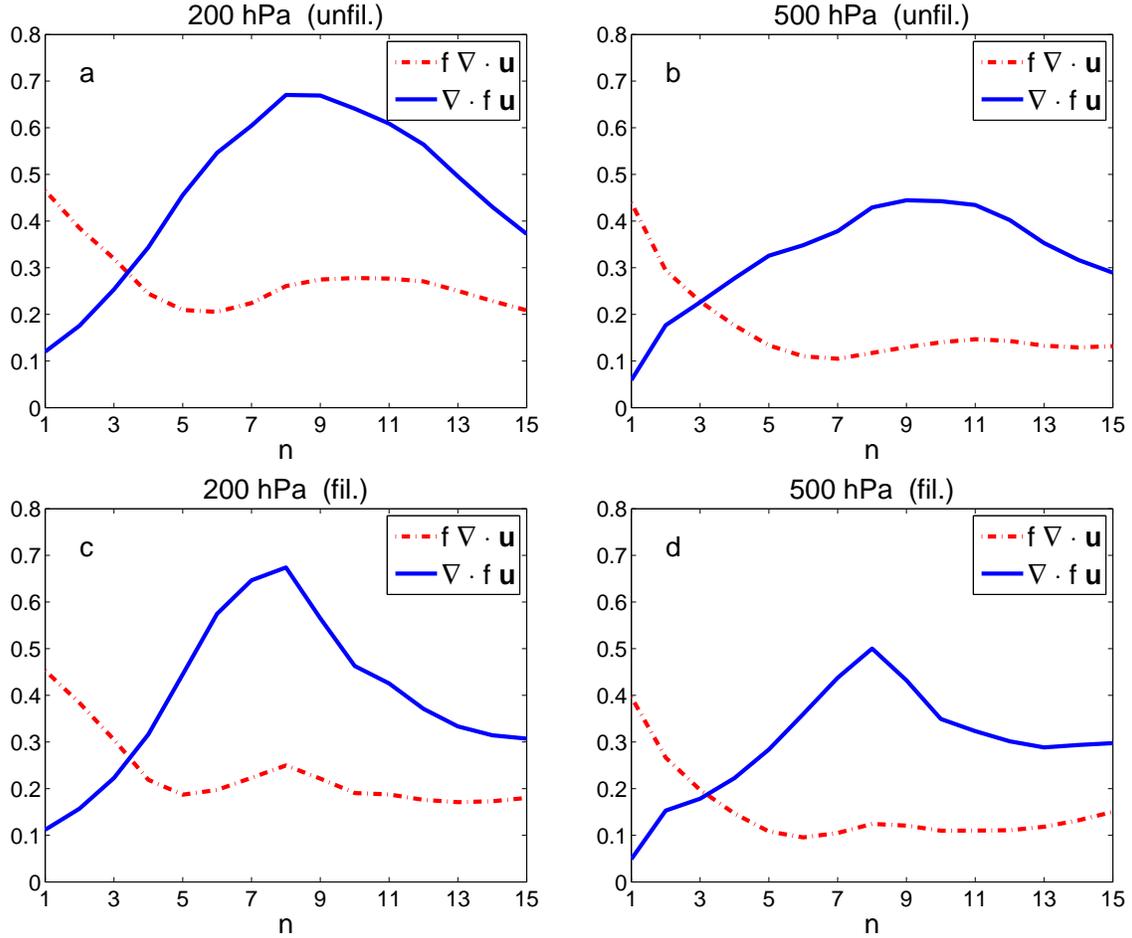


FIG. 6. Normalized standard deviation of $f \nabla \cdot \mathbf{u}$ and $\nabla \cdot f \mathbf{u}$ at 200 hPa (a,c) and at 500 hPa (b,d) as a function of the total wavenumber n . The normalization factor is $\frac{1}{2}(\Sigma_n(f \frac{\partial u}{\partial \lambda}) + \Sigma_n(f \frac{\partial v}{\partial \phi}))$ and $\frac{1}{3}(\Sigma_n(f \frac{\partial u}{\partial \lambda}) + \Sigma_n(f \frac{\partial v}{\partial \phi}) + \Sigma_n(\beta v))$ for $f \nabla \cdot \mathbf{u}$ and $\nabla \cdot f \mathbf{u}$, respectively. (a),(b) unfiltered data, (c),(d) low-pass filtered data. See the description below Fig. 5 for explanation of the normalization factors.