



# Enhancing residual-based techniques with shape reconstruction features in Electrical Impedance Tomography

Mach Nguyet Minh

`machnt@mathematik.uni-stuttgart.de`

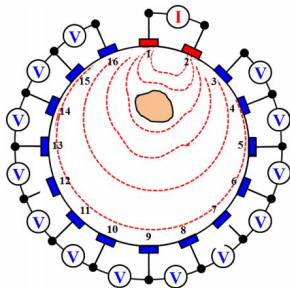
Department of Mathematics, University of Stuttgart, Germany

Joint work with Bastian Harrach

AIP2015

Helsinki, Finland, May 29, 2015

# Electrical Impedance Tomography (EIT)



**Figure:** A circular domain with surface electrodes

(Source: Medical Imaging Study Group at Yonsei Univ.)

- ▶ reference body  
 $\Omega \subset \mathbb{R}^n, n \geq 2$
- ▶ apply small currents  
 $(g(x)|_{\partial\Omega})$
- ▶ measure induced voltages  
 $(u(x)|_{\partial\Omega})$
- ↔ reconstruction  
 conductivity  $\sigma(x)$   
 inside  $\Omega$



# Mathematical Model

Recover  $\sigma$  from Neumann-to-Dirichlet Operator

$$\Lambda(\sigma) : L^2_{\diamond}(\partial\Omega) \rightarrow L^2_{\diamond}(\partial\Omega), \quad g|_{\partial\Omega} \mapsto u|_{\partial\Omega},$$

where  $u$  solves

$$\begin{cases} \nabla \cdot (\sigma \nabla u) & = 0, & \text{in } \Omega, \\ \sigma \partial_{\nu} u|_{\partial\Omega} & = g|_{\partial\Omega}, & \text{on } \partial\Omega. \end{cases}$$

D-bar Method; Factorization Method; Enclosure Method; ...

Linearization (NOSER, GREIT, ...).  $\Lambda(\sigma), \Lambda'(\sigma)\kappa$ : linear, compact, self-adjoint

Linearizing around a reference conductivity  $\sigma_0$ :  $\Lambda(\sigma) - \Lambda(\sigma_0) \approx \Lambda'(\sigma_0)(\sigma - \sigma_0)$

Mach Nguyet Minh:

Enhancing residual-based techniques with shape reconstruction features in Electrical Impedance Tomography



# NOSER

NOSER (Cheney et al., 1990), GREIT (Adler et al., 2009)

Solve  $\Lambda'(\sigma_0)\kappa \approx \Lambda(\sigma) - \Lambda(\sigma_0)$ , then  $\kappa \approx \sigma - \sigma_0$

- ▶ multiple possibilities to measure residual norm and to regularize
- ▶ no rigorous theory for single linearization step
- ▶ almost no theory for Newton iteration:
  - ▶ Dobson (1992): (Local) convergence for regularized EIT eqn
  - ▶ Lechleiter/Rieder (2008): (Local) convergence for discretized setting

# Exact (One-step) Linearization

**Theorem** (Harrach/Seo, SIAM J. Math. Anal. 2010)

Let  $\kappa, \sigma, \sigma_0$  piecewise analytic and  $\Lambda'(\sigma_0)\kappa = \Lambda(\sigma) - \Lambda(\sigma_0)$ .

Then

- (a)  $\text{supp}_{\partial\Omega}\kappa = \text{supp}_{\partial\Omega}(\sigma - \sigma_0)$
- (b)  $\frac{\sigma_0}{\sigma}(\sigma - \sigma_0) \leq \kappa \leq \sigma - \sigma_0$  on the bdry of  $\text{supp}_{\partial\Omega}(\sigma - \sigma_0)$

- ▶ Existence of exact solution is unknown
- ▶ In practice: finite-dimensional, noisy measurements

# Monotonicity Test

- ▶ Monotonicity:

$$\tau \leq \sigma \implies \Lambda(\tau) \geq \Lambda(\sigma)$$

- ▶ Idea: Simulate  $\Lambda(\tau)$  for test conductivity  $\tau$  and compare with  $\Lambda(\sigma)$  (Tamburrino/Rubinacci 02, Lionheart, Soleimani, Ventre, ...)
- ▶ Inclusion detection: For  $\sigma = 1 + \chi_D$  with unknown  $D$ , use  $\tau = 1 + \chi_B$ , with small ball  $B$

$$B \subset D \implies \tau \leq \sigma \implies \Lambda(\tau) \geq \Lambda(\sigma)$$

- ▶ Algorithm: Mark all balls  $B$  with  $\Lambda(1 + \chi_B) \geq \Lambda(\sigma)$
- ▶ Result: upper bound of  $D$



# Monotonicity Test

- ▶ Monotonicity test detects exact shape:

---

**Theorem** (Harrach/Ullrich, SIAM J. Math. Anal., 2013)

$\Omega \setminus \bar{D}$  connected,  $\sigma = 1 + \chi_D$

$$B \subseteq D \iff \Lambda(1 + \chi_B) \geq \Lambda(\sigma)$$

- 
- ▶ For faster implementation:

$$B \subseteq D \iff \Lambda(1) + \frac{1}{2}\Lambda'(1)\chi_B \geq \Lambda(\sigma)$$

- ▶ Does not behave well in high level of noise



# Mathematical Setting

## Assumptions:

- ▶ Continuum model
- ▶  $\Omega$ : bounded
- ▶ Homogeneous background:  $\sigma_0 \equiv 1$
- ▶ True conductivity  $\sigma = 1 + \gamma\chi_D$ ,  $\gamma \in L^\infty(\Omega)$   
(implies definiteness)
- ▶  $\Omega \setminus D$  connected



# Combination idea of NOSER and MT

$$\min_{\{\text{constraints}\}} \|\Lambda(\sigma) - \Lambda(1) - \Lambda'(1)\kappa\| \quad (1)$$

- ▶ Approximate by  $\mathbf{A}(\kappa) := (\langle \mathbf{g}_i, (\Lambda(\sigma) - \Lambda(1) - \Lambda'(1)\kappa) \mathbf{g}_j \rangle)_{i,j=1}^N$
- ▶ Minimize  $\|\mathbf{A}(\kappa)\|_F$
- ▶ Approximate  $\kappa$  by  $\sum_{k=1}^T a_k \chi_{P_k}$
- ▶ Use Monotonicity Test to define constraints

# Monotonicity-based Constraints

$\Omega \setminus D$  connected:

(i) If  $P_k$  lies inside the true inclusion

$$\Lambda(1) - \Lambda(\sigma) + \alpha \Lambda'(1) \chi_k \geq 0$$

for (at least) all  $\alpha \in [0, a]$ , where  $a = 1 - \frac{1}{1 + \inf_D \gamma}$ .

(ii) If  $P_k$  lies outside the inclusions then

$$\Lambda(1) - \Lambda(\sigma) + \alpha \Lambda'(1) \chi_k \not\geq 0$$

for all  $\alpha \geq 0$ .

↪ Find  $\beta_k$  biggest

$$\Lambda(1) - \Lambda(\sigma) + \alpha \Lambda'(1) \chi_k \geq 0 \quad \forall \alpha \in [0, \beta_k]. \quad (2)$$

# Monotonicity-based Constraints

## Lemma

$\Omega \setminus D$  connected:  $\beta_k > 0$  iff  $P_k \subseteq D$ .

- ▶ We allow  $\beta_k = \infty$
- ▶ Constraints defined by Monotonicity Test:

$$\sum_{k=1}^T a_k \chi_{P_k}, \quad 0 \leq a_k \leq \min(a, \beta_k)$$

- ▶  $\Omega \setminus D$  connected:  $\min(a, \beta_k) = a$  if  $P_k \subset D$ ,  
 $\min(a, \beta_k) = 0$  if  $P_k \not\subseteq D$



# Monotonicity-based Constraints

- ▶ Use  $\beta_k$  alone: also improve shape reconstruction  
[Zhou, Harrach and Seo, Monotonicity-based Electrical Impedance Tomography Lung Imaging, submitted]
- ▶ Appearance of  $a$ :
  - ▶ make sure minimizer exists
  - ▶ produce much improved results (see last figure)
  - ▶ numerical results more stable in high level of noise

# Existence of Minimizer

## Theorem

$$\min \left\{ \|\mathbf{A}(\kappa)\|_F : \kappa = \sum_{k=1}^T a_k \chi_{P_k}, 0 \leq a_k \leq \min(a, \beta_k) \right\} \quad (3)$$

- (i) *Unique minimizer  $\hat{\kappa}$ .*
- (ii)  *$P_k \subset \text{supp } \hat{\kappa}$  iff  $P_k \subset D$ .*  
*Moreover,  $\hat{\kappa} = \sum_{k=1}^T \min(a, \beta_k) \chi_{P_k}$ .*

Use operator norm in (1)  $\rightsquigarrow$  same result, numerical results not good as Frobenius norm

# Sketch of proof

- (i)  $\kappa \mapsto \|\mathbf{A}(\kappa)\|_F^2 := \sum_{i,j=1}^N \langle g_i, r(\kappa)g_j \rangle^2$  continuous  $\rightsquigarrow$  a minimizer in compact sets.
- (ii) **Step 1:** If  $\kappa = \sum_{k=1}^T \alpha_k \chi_k$ ,  $0 \leq \alpha_k \leq \min(a, \beta_k)$ , then  $r(\kappa) \leq 0$
- ▶ if  $\alpha_k > 0$ : Lemma 3  $\rightsquigarrow P_k \subseteq D$
  - ▶  $\alpha_k \leq a$ :  $\forall g \in L^2_\diamond(\partial\Omega)$

$$\langle g, r(\kappa)g \rangle = \langle g, (\Lambda(\sigma) - \Lambda(1) - \Lambda'(1)\kappa)g \rangle \leq - \int_D a |\nabla u_g^0|^2 dx + \sum_k \int_{P_k} \alpha_k |\nabla u_g^0|^2 dx \leq 0$$

**Step 2:**  $\hat{\kappa} = \sum_{k=1}^T \hat{\alpha}_k \chi_k$  is a minimizer of (3). Then  $\text{supp} \hat{\kappa} \subseteq D$ .

- ▶ if  $\hat{\alpha}_k > 0$ :  
 $\Lambda(\sigma) - \Lambda(1) - \Lambda'(1)\alpha \chi_k \leq \Lambda(\sigma) - \Lambda(1) - \Lambda'(1)\hat{\kappa} \leq 0$  for all  $\alpha \leq \hat{\alpha}_k$ .
- ▶ Lemma 3  $\rightsquigarrow P_k \subseteq D$ .

# Sketch of proof

**Step 3:**  $\hat{\kappa} = \sum_{k=1}^T \hat{\alpha}_k \chi_k$  is a minimizer of (3). If  $P_k \subseteq D$ , then  $P_k \subseteq \text{supp} \hat{\kappa}$ .

- ▶ If  $P_k \not\subseteq \text{supp} \hat{\kappa} \rightsquigarrow \hat{\alpha}_k = 0$ .
- ▶  $P_k \subseteq D$ : Lemma 3  $\rightsquigarrow \exists \beta_k > 0$ :  $\Lambda(\sigma) - \Lambda(1) - \Lambda'(1)\alpha\chi_k \leq 0 \quad \forall \alpha \in [0, \beta_k]$ .
- ▶ Claim: for any  $\alpha, 0 \leq \alpha \leq \min(a, \beta_k)$ :  $\|\mathbf{A}(\hat{\kappa} + \alpha\chi_k)\|_F < \|\mathbf{A}(\hat{\kappa})\|_F$

$$\begin{aligned} \|\mathbf{A}(\hat{\kappa} + \alpha\chi_k)\|_F^2 - \|\mathbf{A}(\hat{\kappa})\|_F^2 &= \sum_{i=1}^N |\lambda_i(\hat{\kappa} + \alpha\chi_k)|^2 - \sum_{i=1}^N |\lambda_i(\hat{\kappa})|^2 = \\ &= \sum_{i=1}^N (\lambda_i(\hat{\kappa} + \alpha\chi_k) + \lambda_i(\hat{\kappa})) \cdot (\lambda_i(\hat{\kappa} + \alpha\chi_k) - \lambda_i(\hat{\kappa})). \end{aligned}$$

- ▶ Step 3  $\rightsquigarrow r(\hat{\kappa}) \leq 0$ :  $\mathbf{x}^\top \mathbf{A}(\hat{\kappa}) \mathbf{x} = \sum_{i,j=1}^N x_i x_j \langle \mathbf{g}_i, r(\hat{\kappa}) \mathbf{g}_j \rangle = \langle \mathbf{g}, r(\hat{\kappa}) \mathbf{g} \rangle \leq 0$
- ▶  $-\mathbf{A}(\hat{\kappa}), -\mathbf{A}(\hat{\kappa} + \alpha\chi_k)$ : positive semi-definite symmetric matrices  $\rightsquigarrow$  all of eigenvalues are non-negative.
- ▶  $\mathbf{B}_k := (-\langle \mathbf{g}_i, \Lambda'(1)\chi_k \mathbf{g}_j \rangle)_{i,j=1}^N \rightsquigarrow \mathbf{A}(\hat{\kappa} + \alpha\chi_k) = \mathbf{A}(\hat{\kappa}) + \alpha \mathbf{B}_k$ . Weyl's Inequalities:

$$\lambda_i(\hat{\kappa} + \alpha\chi_k) \geq \lambda_i(\hat{\kappa}) + \alpha \lambda_N(\mathbf{B}_k) \geq \lambda_i(\hat{\kappa}) \quad \text{for all } i \in \{1, \dots, N\}. \quad (4)$$

## Sketch of proof

**Step 4:**  $\hat{\kappa}$  is a minimizer of (3)  $\rightsquigarrow \hat{\kappa} = \sum_{k=1}^T \min(a, \beta_k) \chi_k$ .

- ▶  $\hat{\kappa} \leq \sum_{k=1}^T \min(a, \beta_k) \chi_k$
- ▶ If there exists  $P_k: \hat{\kappa}(x) < \min\{a, \beta_k\}$  a.e.  $\exists h > 0: \hat{\kappa} + h\chi_k \leq \min(a, \beta_k)$  a.e.
- ▶ Step 1  $\rightsquigarrow r(\hat{\kappa}) \leq 0, r(\hat{\kappa} + h\chi_k) \leq 0$ .
- ▶ Similar to Step 3:  $\|\mathbf{A}(\hat{\kappa} + h\chi_k)\|_F < \|\mathbf{A}(\hat{\kappa})\|_F$



## Numerical results

- ▶ Minimizing problem (3): quadratic minimizing problem + linear bounds
- ▶ **cvx**: can be solve directly, same complexity as LP
- ▶ **cvx**: (3) equiv. to

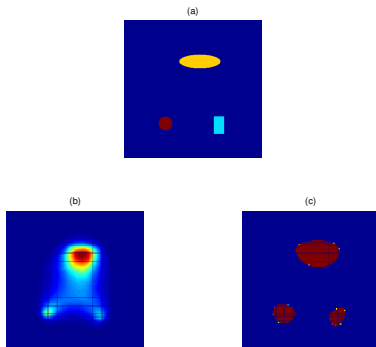
$$\min \left\{ \left\| \mathbf{A}_0 - \sum_{k=1}^T a_k \mathbf{A}_k \right\|_F : 0 \leq a_k \leq \min(a, \beta_k) \right\}$$

$$\mathbf{A}_0 := (\langle \mathbf{g}_i, (\Lambda(1) - \Lambda(\sigma)) \mathbf{g}_j \rangle)_{i,j=1}^N; \quad \mathbf{A}_k := (-\langle \mathbf{g}_i, \Lambda'(1) \chi_k \mathbf{g}_j \rangle)_{i,j=1}^N$$

- ▶  $\kappa \mapsto \|\mathbf{A}(\kappa)\|^2$ : convex  $\rightsquigarrow$  no local min
- ▶  $\delta\%$  noise: *tol* (in finding  $\beta_k$ ) can be chosen by default: *tol* =  $-\delta$  (MT: *tol* has to choose by hand, in order to get good reconstruction)

# Numerical results

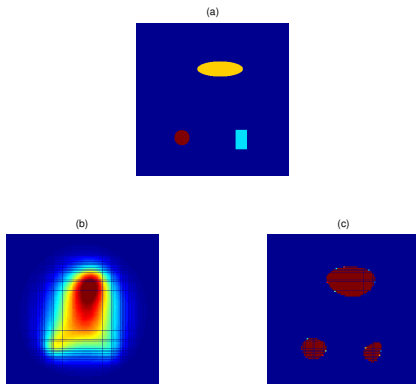
Note: All pictures have different color scale. No regularization at this moment!



**Figure:** Reconstruction of conductivity change: (a) true distribution of conductivity change; (b) a plot of  $\sum_k \beta_k \chi_k$ ; (c) minimizing residuum with constraint  $\min(a, \beta_k)$ .

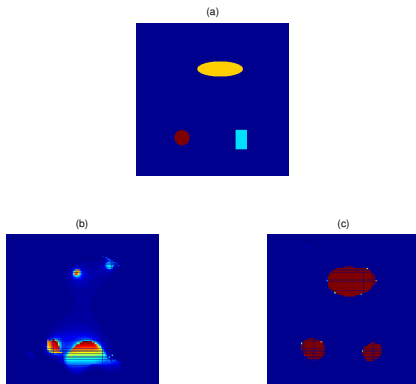
Relative noise = 0.1% (corresponding absolute noise =  $5.97 \times 10^{-5}$ )

# Numerical results



**Figure:** Reconstruction of conductivity change: (a) true distribution of conductivity change; (b) a plot of  $\sum_k \beta_k \chi_k$ ; (c) minimizing residuum with constraint  $\min(a, \beta_k)$ .  
 Relative noise = 1% (corresponding absolute noise =  $5.97 \times 10^{-4}$ )

# Numerical results



**Figure:** Reconstruction of conductivity change: (a) true distribution of conductivity change; (b) a plot of  $\sum_k \beta_k \chi_k$ ; (c) minimizing residuum with constraint  $\min(a, \beta_k)$ . Relative noise = 5% (corresponding absolute noise =  $1.12 \times 10^{-3}$ )

## Compare to MT and to different constraints

### Reconstruction of conductivity change

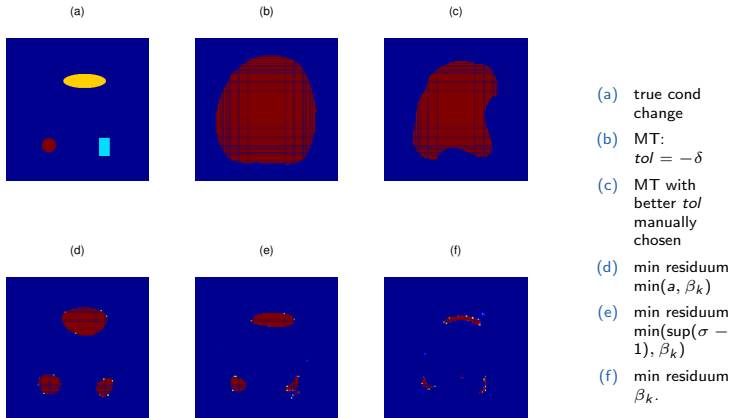


Figure: Relative noise = 0.1%